



Implementation of the FY 2010 Federal Transit Program and other “Hot Topics”

October 4, 2010



This Presentation Will Cover:

- FTA's Chapter 53 Program Implementation
- FTA's 2010 Discretionary Program
- FTA's ARRA Implementation
- Reporting Requirements
 - 1201(c) Reporting Update
 - 1512 Reporting
 - FFATA Reporting Requirements



2010 FTA Grant Programs

Formula Programs

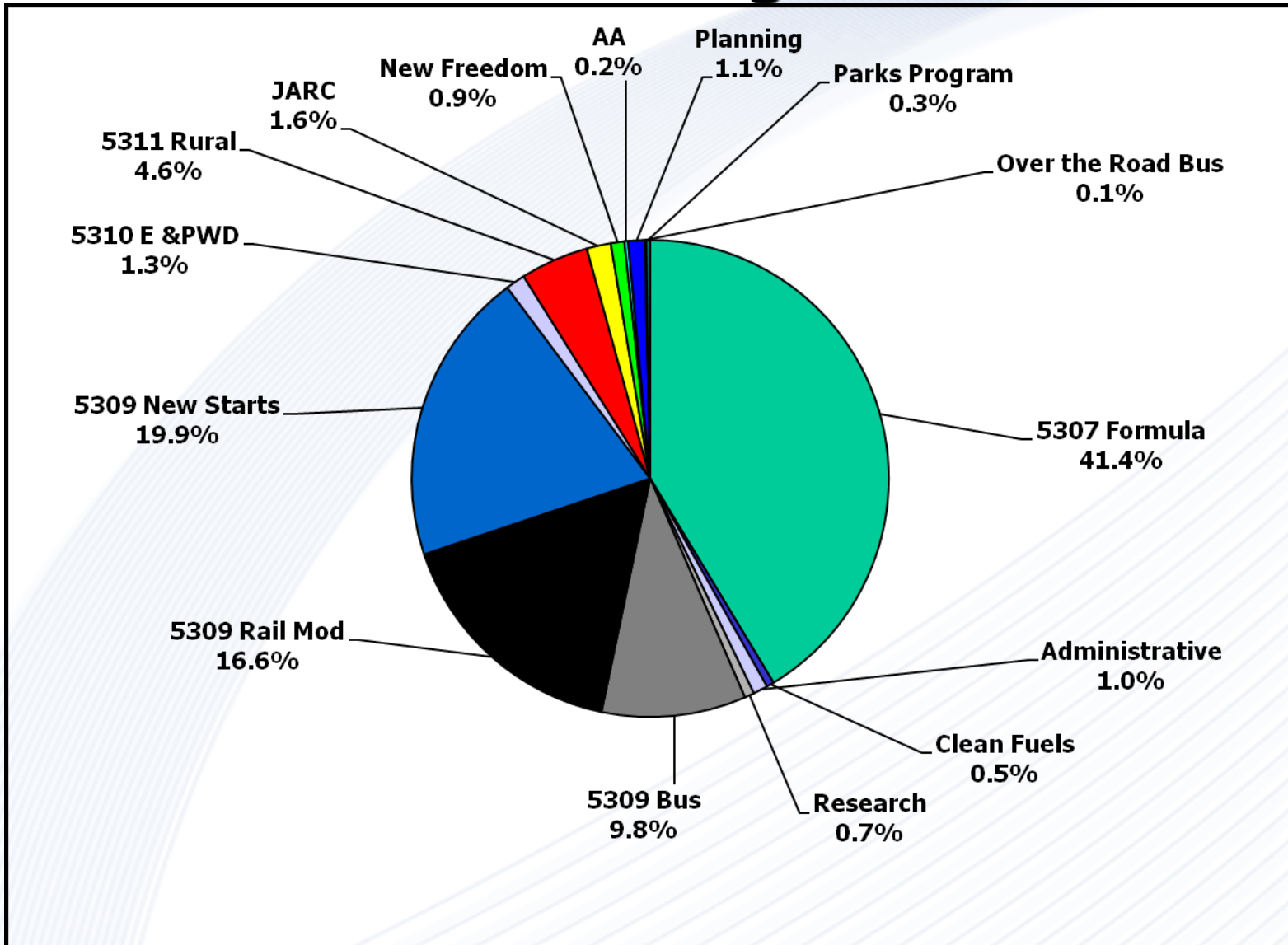
- 5303, 5304 Planning
- 5307 UZA Funds
- 5310 Elderly & Individuals with Disabilities
- 5311 Non-UZA Funds
- 5309 Fixed Guideway Mod
- 5316 JARC
- 5317 New Freedom

Discretionary Programs

- 5308 Clean Fuels
- 5309 Bus
- 5309 New Starts/S Starts
- 5311 Tribal Transit
- 5314 Research
- 5320 Sarbanes Transport in the Parks
- 5339 AA
- Over the Road Bus
- TIGGER



Relative Size of Grant Programs – FY 2010





FY 2010 Grant Processing Summary

Data as of 9/28/2010

Non-ARRA Program Description	Project Count	Obligated Amount	Average Days to Obligate	Percent Obligated in 60 days
USC 5320 Paul S. Sarbanes Transit in Parks Program	4	\$7,540,832	49	75%
USC 5339 Alternatives Analysis	23	\$15,526,675	29	96%
USC 5303/5304/5305 Metropolitan and State Planning	51	\$162,587,769	10	98%
USC 5307 Urbanized Area	916	\$4,849,410,834	34	92%
USC 5308 Clean Fuels Grant Program	9	\$18,437,667	69	44%
USC 5309 Bus and Bus Facilities	442	\$516,224,661	58	63%
USC 5309 Fixed Guideway Modernization	72	\$1,383,358,415	39	93%
USC 5309 New Starts	58	\$1,667,106,870	59	57%
USC 5310 Elderly and Individuals with Disabilities	56	\$176,237,261	7	100%
USC 5311 Non-Urbanized Area	81	\$624,837,418	12	100%
USC 5311 Tribal Transit	70	\$16,392,863	15	97%
USC 5314 National Research	10	\$3,999,231	33	80%
USC 5316 JARC	187	\$163,976,876	35	93%
USC 5317 New Freedom	170	\$89,611,679	11	100%
TEA-21 3038-Over-the-Road-Bus	7	\$544,261	43	86%
Emergency Supplementals	2	\$3,711,878	6	100%
Miscellaneous FHWA Transfers	12	\$15,115,192	37	92%
Non-ARRA Total	2,170	\$9,714,620,382	35	87%



FY 2010 Grant Processing Summary

Data as of 9/28/2010

ARRA - Program Description	Project Count	Obligated Amount	Average Days to Obligate	Percent Obligated in 60 days
ARRA - USC 5311 Non-Urban Area Flex	5	\$39,670,659	43	40%
ARRA - USC 5307 Urban Area Flex	57	\$155,206,609	61	68%
ARRA - USC 5309 New Starts	2	\$274,280,000	244	0%
ARRA - USC 5309 Fixed Guideway Mod	4	\$4,718,270	93	0%
ARRA - TIGGER	43	\$100,000,000	81	26%
ARRA - USC 5311 Non-Urbanized Area	34	\$137,261,084	58	62%
ARRA - USC 5311 Tribal Transit	39	\$17,000,000	19	97%
ARRA - USC 5307 Urbanized Area	177	\$605,318,460	80	22%
ARRA - OST - TIGER	7	\$134,863,000	84	14%
ARRA Total	368	\$1,468,318,082	70	41%

Program Description	Project Count	Obligated Amount	Average Days to Obligate	Percent Obligated in 60 days
ARRA Total	368	\$1,468,318,082	70	41%
Non-ARRA Total	2,170	\$9,714,620,382	35	87%
Grand Total	2,538	\$11,182,938,464	40	80%



FTA National Initiatives

- State of Good Repair (**SGR**)
 - Measuring the condition of transit capital assets, prioritizing local transit re-investment decisions and preventive maintenance practices.
 - Articulating best asset management practices, and integrated decision making at the transit agency level that prioritizes maintaining existing assets
 - 776 million in discretionary funding targeted to support this effort
- Livability Initiative (**DOT-HUD-EPA**)
 - Highlights need for coordinated federal programs to increase transportation choices, promote affordable housing, and invest in existing communities.
 - FTA targeting funding, technical assistance, research, and regional pilot programs.
 - 280 million FTA funds for bus and rail projects in FY 2010 to support this effort.



2010 Notices of Funding Availability (NOFA's)

- FY2010 Discretionary Grant Opportunities
 - Bus Livability
 - Urban Circulators
 - Tribal
 - Clean Fuels
 - Bus State of Good Repair
 - Alternatives Analysis
 - TIGGER



Discretionary Programs

FTA Discretionary Grant Allocation Schedule: 2010

Request for Proposal / NOFA Title	Statutory Program	Funding Available	NOFA Published	Application Deadline	Number of Applications	Amount Requested	Public Announcement of Allocation
Urban Circulator	New Starts/Small Starts (5309(m)(1)(a))	\$130,000,000	12/8/2009	2/10/2010	65	\$1,000,000,000	7/8/2010
Bus & Bus Facilities Livability	Bus & Bus Facilities (5309(m)(1)c)	\$162,685,618	12/8/2009	2/10/2010	281	\$2,100,000,000	7/8/2010
FY 2009 & FY 2010	Over-the-Road-Bus (Section 3038)	\$19,492,127	1/15/2010	5/3/2010	192	\$49,700,000	Oct-10
FY 2010 New Starts	New Starts/Small Starts (5309(m)(1)(a))	\$163,784,256	Funds may be awarded under Urban Circulator				
	Sub Total	\$475,962,001					
(Green) Sustainability NOFA							
FY 2010	Clean Fuels (5308) (supplemented by Bus funds)	\$81,260,855	4/13/2010	6/14/2010	101	\$632,249,678	Oct-10
FY 2010	TIGGER (FY 2010)	\$75,000,000	4/13/2010	8/11/2010	137	\$795,000,000	Oct-10
	Sub Total	\$156,260,855					
FY 2010 Bus & Bus Facilities	Buses and Bus Facilities	\$775,000,000	5/4/2010	6/18/2010	422	\$4,056,354,000	Oct-10
FY 2010 Bus & Bus Facilities	Asset Management	\$775,000,000	5/4/2010	6/18/2010	22	\$44,992,643	Oct-10
	Sub Total	\$775,000,000					
Livability NOFA Programs							
FY 2010	Transit in the Parks (5320)	\$24,502,861	4/15/2010	6/15/2010	72	\$83,000,000	Oct-10
FY 2010	Alternatives Analysis (5339)	\$25,670,663	4/15/2010	6/15/2010	66	\$73,000,000	Oct-10
FY 2010	Tribal Transit (5311(c))	\$15,074,963	4/15/2010	6/15/2010	96	\$37,886,278	Oct-10
	Sub Total	\$65,248,487					
	Grand Total	\$1,472,471,343			1,454	\$8,872,182,599	



\$8.4 B Transit Funding in ARRA

Transit Program	Amount	Purpose
Urban Formula (Inc sec 5340)	\$5.97 B	Formula - Capital and Operating
Non-Urban Formula (Inc sec 5340)	\$760 M	Formula - Capital and Operating
Fixed Guideway Modernization	\$742.5 M	Formula - Capital only
FHWA Flexed Funds	\$443 M	For Ready to Go ARRA Eligible Transit Projects
New Starts / Small Starts	\$742.5 M	Discretionary - Capital
Discretionary Energy Program – TIGGER [new]	\$100 M	Discretionary - Capital only
Tribal Transit	\$17 M	Discretionary - Capital
Oversight/Admin	\$ 84 M	



Key ARRA Deadlines

- **Formula funds were to be apportioned within 21 days of enactment-**
 - Enacted February 17, 2009
 - Funds Apportioned - March 5, 2009
- **50% of formula funds were to be obligated within 180 days of apportionment: Sept. 1, 2009**
 - All 297 urbanized areas and States met the goal
 - 90% of formula funds were obligated
- **All remaining formula funds must be obligated by March 5, 2010.**
 - All 297 urbanized areas and States met the goal
 - 100% of formula funds were obligated
- **All ARRA discretionary or formula funds must be obligated by September 30, 2010 or returned to the US Treasury**
 - 100% of funds were obligated.
- **Reporting Deadlines (discussed later)**



ARRA Obligations as of 9/30/2010

- 1072 FTA ARRA grants
 - Totaling \$8.7 B
- 89 FHWA Flexed -ARRA grants
 - Totaling \$443 M
- 47.1% of obligations disbursed to date



Reporting Requirements

- 1201 Reporting Update
- 1512 Reporting Update



1201 Reports

- ***Report Due Dates*** – these are for the cumulative period as of end of month preceding due date:
 - May 18, 2009
 - August 16, 2009
 - February 17, 2010
 - February 17, 2011
 - February 17, 2012



1512 Reporting

- Quarterly

- Quarter 1: January 1 – March 31
- Quarter 2: April 1 – June 30
- Quarter 3: July 1 – September 30
- Quarter 4: October 1 – December 31

- Final Reporting Cycle

- Including the current reporting cycle, there are **21 reporting cycles remaining**. All Dot funds (excluding TIGER and High Speed Rail (FRA) must be expended by September 30, 2015.



1512

- Quarter 2 of 2010 report reported **14,262** jobs created or retained as a result of ARRA funding.
 - Of those that reported jobs greater than zero 60 percent of the reports reported jobs.
- 100 percent of the grant recipients reported in Quarter 2 of 2010.
- Currently:
 - 13 percent have not yet started the project
 - 54 percent are less than 50% complete
 - 29 percent are more than 50% complete
 - 5 percent are fully complete



1512 Guidance Documents

- FTA 1512 Reporting Guidance documents:
 - 1512 Highlights
 - 1512 Checklist
 - 1512 Reporting Definitions and Examples

(Available on the FTA ARRA Website under ARRA Reporting at http://www.fta.dot.gov/index_9440_10542.html)



1512 Reporting Timeline

- Reporting Period (Days 1-10)
 - October 1-10, 2010.
- Prime Recipient Review (Days 11-13)
 - Direct recipients should review any data reported by subrecipients during this time.
 - Reports may NOT be submitted during this timeframe.
- FTA (Agency) Review (Days 14-29)
 - FTA will review your report during October 14-29, 2010.
- Release (Day 30)
 - All data from the report will be released on October 30, 2010.
- There will be no extensions or additional time to report or review data before the release dates.



Additional Technical Assistance

- FTA 1512 Reporting Guidance Documents
 - 1512 Highlights
 - 1512 Checklist
 - 1512 Reporting Definitions and Examples

[\(\[http://www.fta.dot.gov/index_9440_10542.html#1512\]\(http://www.fta.dot.gov/index_9440_10542.html#1512\)\)](http://www.fta.dot.gov/index_9440_10542.html#1512)
- FTA 1512 Questions and Answers

[\(\[http://www.fta.dot.gov/index_9440_9327.html#Reporting\]\(http://www.fta.dot.gov/index_9440_9327.html#Reporting\)\)](http://www.fta.dot.gov/index_9440_9327.html#Reporting)
- FederalReporting.gov Frequently Asked Questions

[\(<https://www.federalreporting.gov/federalreporting/faq.do>\)](https://www.federalreporting.gov/federalreporting/faq.do)
- Recovery.gov Recipient Reporting Information

[\(<http://www.recovery.gov/?q=content/recipient-reporting>\)](http://www.recovery.gov/?q=content/recipient-reporting)



Federal Funding Accountability and Transparency Act (FFATA) Sub- Award Reporting

- All recipients must register on the Central Contractor Registry (CCR) by **October 1, 2010**
- **Requirement:** Report on any grant made after 10/1/10 that will pass funds through to subrecipients (25 k threshold).
 - Subrecipients have to have Duns numbers but not CCR.
- Submit report via www.fsrs.gov , a central reporting system.
- Submit reports by the end of the month following the month of grant award (i.e., by November 30 for a grant made on October 15).



FFATA Sub-Award Reporting

- Submit one report per grant, and you do not have to update your submitted report if information changes.
- Report certain identifying information for subrecipients (CFDA, place of performance, award amount, etc) and the names and salaries of the top five most highly compensated officers of the subrecipient if:
 - The subrecipient receives 80% or more of its annual gross revenues from Federal sources.
 - The amount of Federal funding is equal to or greater than \$25 million.
 - Executive compensation data has not already been made available per SEC requirements.



FFATA Sub-Award Reporting

- Technical assistance is available at www.usa.spending.gov
- OMB will be hosting webinars in October. Details at www.usaspending.gov
- FTA will be providing technical assistance and training webinars in the first quarter of FY 2010.
- Federal Register Notice Vol. 75, No. 177 Interim Final Guidance- September 14, 2010.



Questions