

APTA Conference

Mass Transit BASE Program

Philadelphia, PA



Transportation
Security
Administration

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Office of Security Operations

Office of Security Policy & Industry Engagement

What is the Mass Transit BASE?

- A **voluntary** assessment designed to evaluate the status of security and emergency response programs on transit systems throughout the nation.
- The **TSA/FTA 17 Security and Emergency Management Action Items for transit agencies**. Embedded in the TSA/FTA 17:
 - 49 CFR Part 659 FTA security regulations
 - APTA Security Standards
 - TSA Six (6) TSF critical elements
 - TSA's recommended security practices not regulated

BASE GOALS

1. **ESTABLISH** a baseline of the transportation agency's internal security processes, procedures and policies against TSA, APTA and FTA-developed security recommendations.
2. **IDENTIFY** security program weaknesses. Also, identify programs and protocols that might be “Effective Smart Practice” models for other systems.
3. **ELEVATE** the transportation agency's overall security environment through development of corrective action recommendations to remediate any security program weaknesses detected during the review.
4. **ENHANCE** security partnership between TSA and transit stakeholders.



TSA/FTA 17 BASELINE CATEGORIES

1. Establish written Security Programs and Emergency Management Plans.
2. Define roles and responsibilities for security and emergency management.
3. Ensure that operations and maintenance supervisors, forepersons, and managers are held accountable for security issues under their control.
4. Coordinate Security and Emergency Management Plan(s) with local and regional agencies.
5. Establish and maintain a Security and Emergency Training Program
6. Establish plans and protocols to respond to the National Terrorism Advisory System (NTAS) alert system.
7. Implement and reinforce a Public Security and Emergency Awareness program.
8. Establish and use a risk management process.
9. Establish and use an information sharing process for threat and intelligence information.
10. Conduct Tabletop and Functional Drills.
11. **Developing a Comprehensive Cyber Security Strategy.***
12. Control access to security critical facilities with ID badges for all visitors, employees and contractors.
13. Conduct physical security inspections.
14. Conduct background investigations of employees and contractors.
15. Control access to documents of security-critical systems and facilities.
16. Ensure existence of a process for handling and access to Sensitive Security Information (SSI).
17. Conduct Security Program audits.

TSA TRANSIT SECURITY FUNDAMENTALS (TSF)

1. Protection of high risk/high consequence underwater/underground assets and systems.
2. Protection of other high risk/high consequence assets that have been identified through system-wide risk assessments.
3. Use of visible, unpredictable deterrence.
4. Targeted counter-terrorism training for key front-line staff.
5. Emergency preparedness drills and exercises.
6. Public awareness and preparedness campaigns.

Evolution of the BASE Program

