

FTA

FEDERAL TRANSIT ADMINISTRATION

Triennial Review Program Update

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U.S. Department of Transportation
Federal Transit Administration

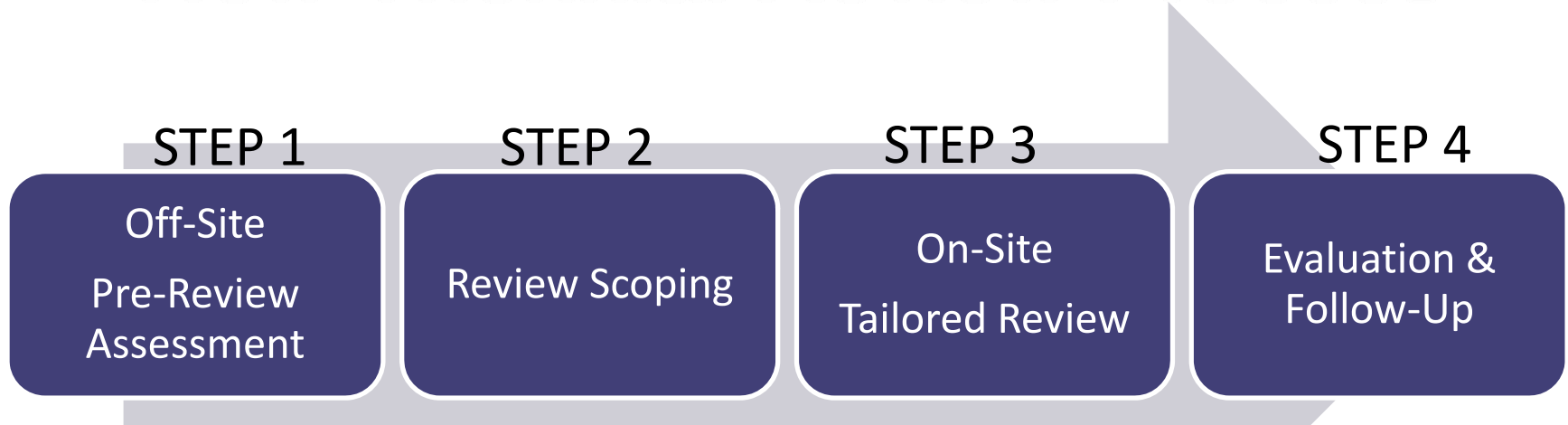
FTA Revamps Triennial Review Program

- New FY 2013 process:
 - ✓ A more proactive, risk-based approach
 - ✓ Clarification and streamlining of review questions
 - ✓ Enhanced review modules, when needed, to address high-risk oversight areas of:
 - Technical Capacity
 - Financial Capacity
 - Financial Management
 - Procurement
 - DBE
 - ADA
 - Title VI
 - Maintenance

Revised Triennial Review Benefits Grantees

- Forward Looking instead of Reactive
- Structured assessment of risk prior to going on-site
- Asking the “right” questions
- Modules improve our ability to rapidly address areas of concern to help grantees get out ahead of problems
- More effectively address findings in high risk areas and reduce repeat findings in these areas
- Decrease the need for multiple reviews of a grantee within a fiscal year
- Program-level and grantee-specific technical assistance

New Triennial Review Process



1. Off-site assessment of high-risk areas and background information
2. FTA decision on whether to add “modules” offering enhanced level of review in any of seven (7) high-risk areas
3. Streamlined baseline on-site review, plus any modules selected
4. Evaluation and follow-up actions, including targeted technical assistance

Questions & Comments?

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