Statutory Background

- MAP-21 made Core Capacity projects eligible for discretionary funds under the Section 5309 Capital Investment Grants Program
- Core Capacity projects are funded from the same “pot” of money as New Starts and Small Starts
- A Core Capacity project is defined as a substantial corridor-based investment in existing fixed guideway system that increases corridor capacity by not less than 10%
- Corridor must be at capacity today, or will be within 5 years
## Eligibility Requirements

<table>
<thead>
<tr>
<th>Eligible Project Elements</th>
<th>Ineligible Project Elements</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Acquisition of real property and rights-of-way</td>
<td>• Maintaining a state of good repair of the existing system</td>
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<tr>
<td>• Double tracking</td>
<td>• Acquisition of rolling stock alone</td>
</tr>
<tr>
<td>• Signalization improvements</td>
<td>• Improving general station facilities or parking</td>
</tr>
<tr>
<td>• Electrification</td>
<td></td>
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<tr>
<td>• Platform expansion</td>
<td></td>
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<tr>
<td>• Acquisition of rolling stock associated with improvements increasing capacity</td>
<td></td>
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<tr>
<td>• Infill stations</td>
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</tbody>
</table>
Core Capacity Process

- **Before entering Project Development:**
  - Define the project or package of projects
  - Develop schedule showing completion of Project Development within 2 years
  - Secure non-5309 funding for Project Development
FTA Core Capacity Process

• Before completing Project Development & entering Engineering:
  – Demonstrate eligibility
  – Complete NEPA
  – Secure MPO approval into Transportation Plan
  – Complete sufficient engineering to have solid cost estimates & lock on Section 5309 share
  – Address and meet FTA rating criteria
    • Project Justification
    • Local Financial Commitment
  – Develop Project Management Plan and sub-plans
Relevant FTA Guidance

Final Interim Policy Guidance
Federal Transit Administration
Capital Investment Grant Program

June 2016

Reporting Instructions for the
Section 5309 Core Capacity Criteria

June 2016

Prepared by:
Federal Transit Administration
Office of Planning and Environment