

FTA

FEDERAL TRANSIT ADMINISTRATION

2017 APTA Annual Meeting

FTA's Safety Management System(SMS) Programs and Initiatives

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Federal Transit Administration

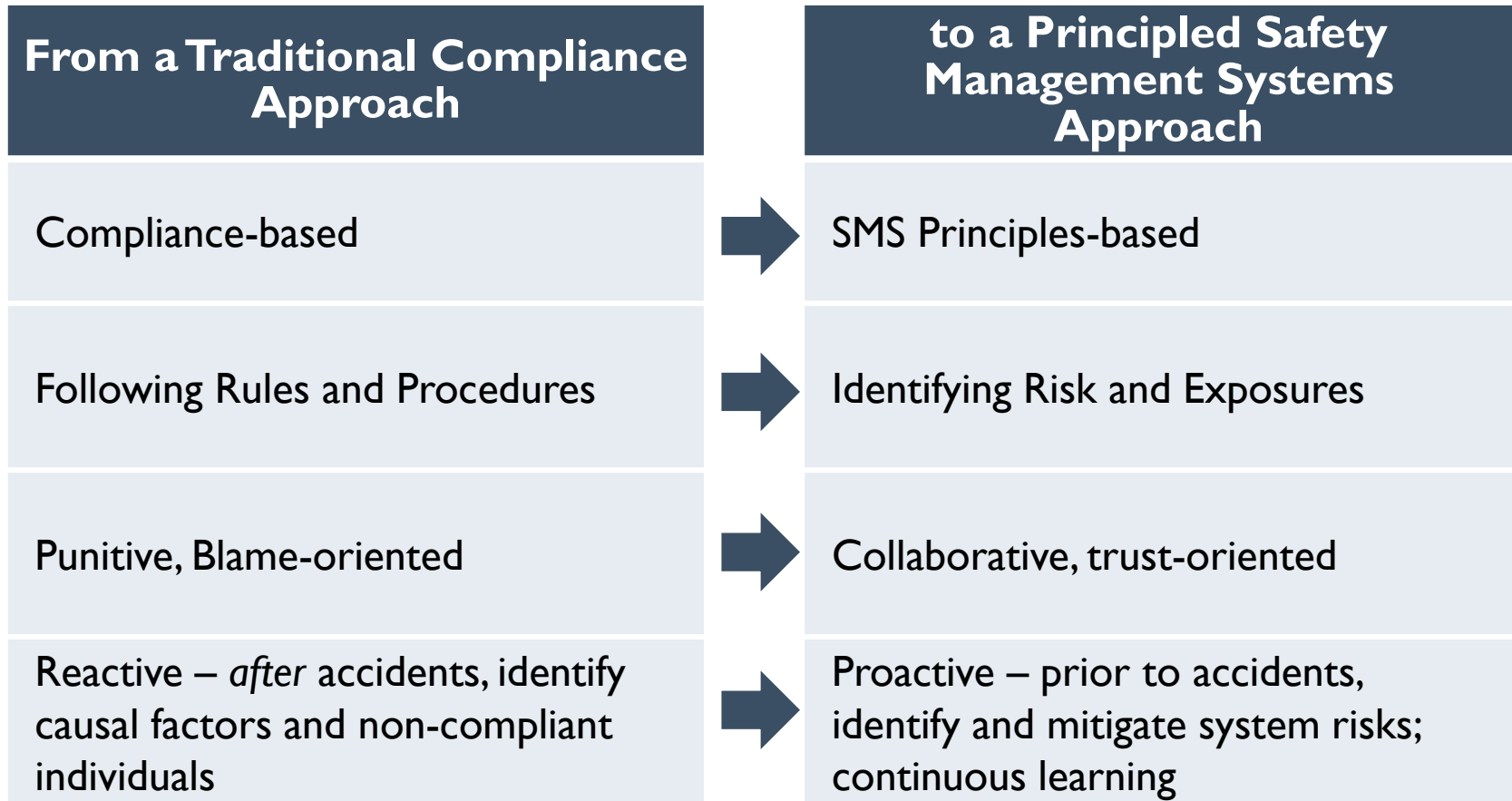
Agenda

- Transit Safety Rulemaking and Guidance
- FTA Approach to SMS
- Safety Culture and SMS
- SMS Structure
- Principles of a Strong Safety Culture
- SMS Implementation Pilot Program
- Resources to Help You Prepare Now
- Questions

Transit Safety Rulemaking and Guidance

Regulation	Rulemaking Timeline	Status
Public Transportation Agency Safety Plan 49 CFR Part 673	NPRM Published: 2/5/2016 Comment By: 4/5/2016	Final Rule in Development
Public Transportation Safety Certification Training Program 49 CFR Part 692	NPRM Published: 12/3/2015 Comment By: 2/1/2016	Final Rule in Development
Preventing Transit Worker Assault NPRM	NPRM Expected: 2017	NRPM in Development
National Public Transportation Safety Plan	Proposed National Safety Plan Published: 2/5/2016 Comment By: 4/5/2016	Final Guidance Published: 1/17/17
Public Transportation Safety Program 49 CFR Part 670	NPRM Published: 8/14/2015 Comment By: 10/13/2015	Final Rule Published: 8/11/2016 Effective: 9/12/2016
Bus Testing 49 CFR Part 665	NPRM Published: 6/23/2015 Comment By: 8/24/2015	Final Rule Published: 8/1/2016 Effective: 10/31/2016
Transit Asset Management 49 CFR Part 625	NPRM Published: 9/30/2015 Comment By: 11/30/2015	Final Rule Published: 7/26/2016 Effective: 10/1/2016
State Safety Oversight 49 CFR Part 674	NPRM Published: 2/27/2015 Comment By: 4/28/2015	Final Rule Published: 3/16/16 Effective: 4/15/16

Safety in Context



Safety Culture and SMS

- **Safety Culture:** The shared values, actions, and behaviors that demonstrate a commitment to safety over competing goals and demands.
- **SMS:** Formal, top-down, organization-wide, data-driven approach to managing safety risk and assuring the effectiveness of safety risk mitigations.

SMS Structure

- Safety Management Policy
- Safety Management Processes
 - Safety Risk Management Process
 - Safety Assurance Processes
- Safety Promotion

Principles of a Strong Safety Culture

1. Leadership displays clear commitment to safety
2. Open and effective communication
3. Employees feel personally responsible for safety
4. Organization practices continuous learning
5. Safety-conscious work environment

Principles of a Strong Safety Culture

6. Non-punitive, clearly defined reporting systems
7. Safety is demonstrably prioritized
8. Mutual trust
9. Fair and consistent response to safety concerns
10. Safety training and resources made available

SMS Implementation Pilot Program

FTA is developing and testing SMS implementation guidance for:

- Large multi-modal agencies
- Bus agencies of all sizes
- Rural transit operators
- Contractor-operated services

Resources to Help You Prepare Now

Read, watch, and participate

- Review FTA's *SMS Framework*
- Participate in webinars explaining new regulations and guidance
- Visit FTA's YouTube page to view previous webinars
- Read our newsletter *TSO Spotlight*



Get trained

Register for Transit Safety Courses

- Contact the Transportation Safety Institute (TSI), at transit@dot.gov or (405) 954-3682
- The SMS Awareness eLearning course is available on TSI's Learning Management System (LMS) <https://tsi-dot.csod.com>

Sign up to receive updates

Sign up for GovDelivery

- FTA announcements and new documents
- <https://public.govdelivery.com/accounts/USDOTFTA/subscribe/new>

A screenshot of the GovDelivery sign-up form for the Federal Transit Administration. The header features the U.S. Department of Transportation logo and the text "U.S. Department of Transportation Federal Transit Administration". Below the header, there is a section titled "Email Updates" with the instruction: "To sign up for updates or to access your subscriber preferences, please enter your contact information below." There is a text input field for "Email Address" with a red asterisk indicating it is required. Below the input field are "Submit" and "Cancel" buttons. At the bottom, there is a small line of text: "Your contact information is used to deliver requested updates or to access your subscriber preferences." and a link for "Privacy Policy - Help".

Questions?

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