Draft Guidance on the Development and Management of Railroad Capital Projects
<table>
<thead>
<tr>
<th>Programs</th>
<th>Purpose</th>
<th>Appropriated</th>
<th>Additional Authorized Over Five Years</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Consolidated Rail Infrastructure and Safety Improvements (CRISI)</td>
<td>To fund projects that improve the safety, efficiency, or reliability of intercity passenger and freight rail.</td>
<td>$5 billion ($1 billion annually)</td>
<td>$5 billion ($1 billion annually)</td>
<td>$10 billion</td>
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<tr>
<td>Railroad Crossing Elimination (New)</td>
<td>To promote highway rail or pathway-rail grade crossing improvement projects that focus on improving the safety and mobility of people and goods.</td>
<td>$3 billion ($600 million annually)</td>
<td>$2.5 billion ($500 million annually)</td>
<td>$5.5 billion</td>
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<tr>
<td>Federal-State Partnership for Intercity Passenger Rail (Significantly Changed)</td>
<td>To fund capital projects that reduce the state of good repair backlog, improve performance, or expand or establish new intercity passenger rail service, including privately operated intercity passenger rail service if an eligible applicant is involved.</td>
<td>$36 billion ($7.2 billion annually)</td>
<td>$7.5 billion ($1.5 billion annually)</td>
<td>$43.5 billion</td>
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<tr>
<td>Restoration &amp; Enhancement</td>
<td>To provide operating assistance to initiate, restore, or enhance intercity passenger rail service.</td>
<td>$250 million ($50 million annually from Amtrak National Network fund)</td>
<td>$250 million ($50 million annually)</td>
<td>$500 million</td>
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<tr>
<td>Interstate Rail Compacts (New)</td>
<td>This program will provide funding for interstate rail compacts’ administrative costs and to conduct railroad systems planning, promotion of intercity passenger rail operations, and the preparation of grant applications.</td>
<td>$15 million ($3 million annually)</td>
<td>$15 million ($3 million annually)</td>
<td>$30 million</td>
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</tbody>
</table>
Calendar of Upcoming FRA Publications

* Targeted selection period, subject to change

- **April 2022**: Railroad Crossing Elimination Program Listening Sessions
- **May 2022**: Benefit Cost Analysis Educational Webinar Held
- **May 2022**: Corridor Identification and Development Program Framework Document Published
- **June 2022**: Railroad Crossing Elimination Program NOFO Announced
- **June 2022**: FY22 CRISI NOFO Announced
- **August 2022**: FY22 CRISI Selections Announced
- **August / September 2022**: FY21 Federal-state Partnership Selections Announced
- **October 2022**: FY22 Federal-state Partnership (National) NOFO Announced
- **November 2022**: Northeast Corridor Project Inventory Notice for Comment Published
- **December 2022**: FY22 Federal-state Partnership (Northeast Corridor) NOFO Announced

† NOFO – Notice of Funding Opportunity
Objectives of FRA Guidance

• Establish consistent project guidance across FRA grant programs
• Enhance sponsor success in implementing rail projects with clear development and management practices to guide sponsors of all backgrounds and experience levels
• Enhance administrative efficiency and effective delivery of FRA-funded projects by appropriately requiring compliance through Notices of Funding Opportunity (NOFO) and grant agreements
• Set transparent expectations for project management—harmonized with Federal Highway Administration (FHWA) and Federal Transit Administration (FTA) best practices
• Build industry capacity and enhance investment outcomes that advance National passenger rail investment
Scope of Draft Guidance

- Addresses all project types and all stages of the project lifecycle
- Defines “Major Project” for FRA railroad programs
  - Reflects FRA, FHWA and FTA experience
  - Aligned with FTA’s and Federal-State Partnership NOFO
    - Defined as: over $300M total project cost and at least $100M federal assistance
  - Aligns project management methods with FHWA and FTA

Rendering of Portal North Bridge, NJ; image credit Amtrak
Project Lifecycle Stages

DEVELOPMENT STAGES

- Multi-state Regional Planning
- Corridor Planning
- Project Identification
- Purpose & Need
- Project Planning
- Conceptual Engineering
- Consideration of alternatives
- Stakeholder Engagement
- NEPA
- PE
- Cost Estimate
- Budget and Schedule
- Project Management Plan & Financial Plan
- Procurement Strategy
- Stakeholder Agreements

IMPLEMENTATION STAGES

- Final Engineering
- Final Budget and Schedule
- Procurement Process
- Project Agreements
- Refined Project Management Plan & Financial Plan
- Right-of-Way Acquisition
- Early work and Relocations
- Capital Construction
- Equipment Acquisition
- Utility Construction
- Financing Costs
- Construction Oversight
- Testing and Commissioning

- Project use
- Project Benefits Assessments
- Project Lookback Analysis
Capital Project Management

• Four key project management tools and processes
• Potential to invoke guidance through NOFOs and grant agreements

1. Project Management Plan
   • Major Projects: 49 U.S.C. § 22903
2. Schedule
3. Capital Cost Estimate
   • Major Project cost and schedule risk assessment
4. Financial Plan
   • Major Projects - Adapted FHWA guidance
Suggested Topics for Discussion

• Definitions within the Guidance document
• The project lifecycle stages
• Milestone activities relating to planning, engineering/design, environmental review, and project management tools
• Differences between non-major and major projects
• Any other suggestions for enhancing the Guidance
• Application of Guidance to railroad projects receiving financing/funding under the DOT credit and grant programs

Submit comments to docket number FRA-2022-0035 and be submitted at https://regulations.gov