

Public Transportation Safety Certification Training Program

Notice of Proposed Rulemaking

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Purpose and Agenda

The purpose of this webinar is to review the Public Transportation Safety Certification Training Program (PTSCTP) Rule (49 CFR part 672) Notice of Proposed Rulemaking.

Webinar Agenda:

- PTSCTP Overview
- PTSCTP NPRM Overview
- Proposed Changes
- NPRM Timeline
- Public Review and Comment
- Questions



PTSCTP Overview

The PTSCTP Rule (49 CFR part 672) establishes a uniform safety training curriculum to enhance the Safety Management System (SMS) skills and knowledge of transit safety personnel.

This regulation applies to:

- **State Safety Oversight Agencies (SSOAs)** and their employees and contractors that conduct safety reviews, inspections, examinations, and other safety oversight activities
- **Rail transit agencies (RTAs)** and their employees and contractors that are directly responsible for the safety oversight of rail fixed guideway public transportation systems



PTSCTP NPRM Overview

FTA published three key proposed updates for the NPRM in the *Federal Register*, including:

Aligning definitions to reflect the proposed updates and with the existing regulatory framework

Streamlining PTSCTP training enrollment and **clarifying** voluntary PTSCTP participation and refresher training requirements

Updating administrative requirements by creating flexibility in course requirements and establishing a communication process



PTSCTP NPRM Overview

Stakeholders will have **60 days** to submit comments to the docket. Key areas of interest for public comment include:

The proposal to maintain the existing minimum training requirements for:

- SSOA personnel who conduct RTA oversight activities; and
- RTA employees and contractors directly responsible for the safety oversight of a rail fixed guideway public transportation system

Considering if future mandatory PTSCTP participation **should extend to bus transit agencies** and personnel



New Definitions (1 of 2)

FTA proposes six new definitions which do not impact existing requirements and are added to provide clarity. These new definitions are:

New Items	Justification
Initial training (§ 672.5)	<ul style="list-style-type: none">Clarify the phrase used to define which courses must be completed within three years of enrollment
PTSCTP curriculum (§ 672.5)	<ul style="list-style-type: none">Clarify which courses must be completed to receive a certificate of completion
Rail transit agency (§ 672.5)	<ul style="list-style-type: none">Synchronize the definition with its definition in other transit safety regulations



New Definitions (2 of 2)

FTA proposes six new definitions which do not impact existing requirements and are added to provide clarity. These new definitions are:

New Items	Justification
Refresher training (§ 672.5)	<ul style="list-style-type: none">• Complement the definition of initial training to clarify the training that must be completed every two years after completing the initial training
Safety review (§ 672.5)	<ul style="list-style-type: none">• Clarify the term used to identify mandatory PTSCTP participants at SSOAs
Voluntary participant (§ 672.5)	<ul style="list-style-type: none">• Clarify which individuals are not subject to the PTSCTP requirements and confirm that they may participate in the PTSCTP voluntarily



Updated Definitions

FTA proposes three updated definitions, which do not impact existing requirements and are added to provide clarity. These updated definitions are:

Updated Item	Justification
Federal Transit Administration (§ 672.5)	<ul style="list-style-type: none">• Synchronize the definition with other transit safety regulations
Designated Personnel (§ 672.5)	<ul style="list-style-type: none">• Clarify the applicability of RTA and SSOA employees and contractors
Rail fixed guideway public transportation system (§ 672.5)	<ul style="list-style-type: none">• Synchronize the definition with its definition in other transit safety regulations

New Training Requirements

FTA proposes new enrollment requirements and refresher training requirements for designated PTSCTP participants. These new requirements include:

New Items	Justification
Enrollment requirements (§ 672.11(b) and .13(b))	<ul style="list-style-type: none">Require SSOAs and RTAs to ensure designated personnel are enrolled in the PTSCTP within 30 days of designation, to ensure timely obtainment of knowledge and skills
Refresher training (§ 672.11(d) and .13(d))	<ul style="list-style-type: none">Maintain existing requirements for agency-defined training and add a component for refresher training defined by FTA to ensure adequate refresher training is completed
Voluntary participants (§ 672.17)	<ul style="list-style-type: none">Clarify existing processes related to PTSCTP voluntary participants and define what voluntary participation entails



Updated Training Requirements

FTA proposes an update to clarify the evaluation processes for course equivalency submissions. This updated requirement is:

Updated Items	Justification
Evaluation of prior certification and training (§ 672.15)	<ul style="list-style-type: none">• Clarify the process for evaluation of prior certification and training

New Administrative Requirements

FTA proposes establishing new administrative requirements for recipients subject to the PTSCTP rule. These new requirements include:

New Items	Justification
PTSCTP-related communication (§ 672.21)	<ul style="list-style-type: none">• Establish reporting requirements to support FTA's PTSCTP compliance monitoring
Point-of-contact (§ 672.21(b-d))	<ul style="list-style-type: none">• Require SSOA and RTA recipients to identify a single point-of-contact for FTA regarding PTSCTP records• Establish required responsibilities for point-of-contact• Create semiannual reporting requirements for point-of-contact



Updated Administrative Requirements (1 of 2)

FTA proposes updating administrative requirements to clarify required courses and grantee responsibilities. These updated requirements include:

Updated Items	Justification
Appendix A (§ 672.21)	<ul style="list-style-type: none">• Provide flexibility in course requirements by removing Appendix A from 49 CFR part 672 and instead publishing required courses on the FTA website
Grantee responsibilities (§ 672.21(a))	<ul style="list-style-type: none">• Clarify applicable grantee responsibilities to ensure designated personnel meet the PTSCTP requirements



Updated Administrative Requirements (2 of 2)

FTA proposes updating an administrative requirement for the SSOA Technical Training Plan (previously included in Appendix A). This updated requirement is:

Updated Items	Justification
Technical Training Plan (§ 672.21(e))	<ul style="list-style-type: none">• Clarify the existing requirement for SSOAs to submit their Technical Training Plan to FTA• Replace reference to “System Safety Program Plan” with “Agency Safety Plan”• Require training records to include minimum passing scores to support FTA evaluation



NPRM Timeline

FTA published PTSCTP
NPRM in Federal
Register

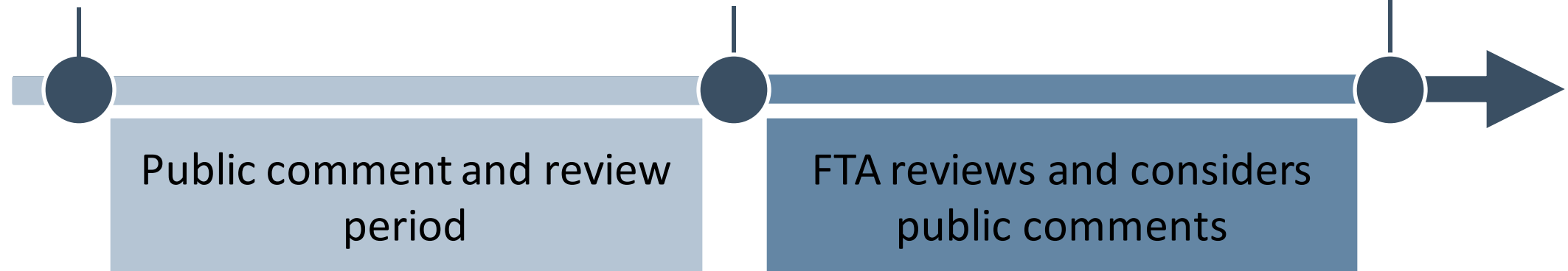
October 26, 2023

End of public review
and comment
period

December 26, 2023

FTA publishes updated
PTSCTP final rule in
Federal Register

TBD



Public Review and Comment

FTA has published the [PTSCTP NPRM](#) on [federalregister.gov](https://www.federalregister.gov) for notice and comment.

[Tips For Submitting Effective Comments](#), published by Regulations.gov, offers tips that help the public submit impactful comments and that help agency policy makers improve federal regulations.



**Open for
Comment From
October 26 –
December 26,
2023**



Questions?

- Comments on the NPRM, including on specific provisions, should be submitted to the rulemaking docket
- Please submit any immediate technical questions into the Q&A box (e.g., in which section of the rule text did FTA add or update a requirement)



Contact Information

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The slide deck and a recording of this webinar will be available at
<https://www.transit.dot.gov/TSOWebinars>





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