FTA’s Pilot Program for Transit-Oriented Development Planning Discretionary Grant

August 17th, 2023
The contents of this webinar do not have the force and effect of law and are not meant to bind the public in any way. This webinar is intended only to provide clarity to the public regarding existing requirements under the law or agency policies. Grantees and subgrantees should refer to FTA’s statutes and regulations for applicable requirements of the TOD Pilot Program.
Agenda

• Overview of the Program
• Introduction to Grants.gov
• Common Questions
• Q & A
• Survey
TOD Pilot Program

• In 2012, the Moving Ahead for Progress in the 21st Century Act (MAP-21) established a Pilot Program for Transit-Oriented Development Planning program (TOD Pilot Program).

• Grants for comprehensive or site-specific planning in new fixed guideway and core capacity improvement transit project corridors

• Since 2014, FTA has awarded 129 TOD Pilot Program grants totaling $104M to transit agencies and other entities.

• On August 8th, FTA released its 8th NOFO, making $13.4M available for TOD funding.

• Applications are due on October 10th, 2023

Six Grant Objectives (MAP-21)

1. Enhances economic development, ridership, and other goals established during the project development and engineering processes
2. Facilitates multimodal connectivity and accessibility
3. Increases access to transit hubs for pedestrian and bicycle traffic
4. Enables mixed-use development
5. Identifies infrastructure needs associated with the eligible project
6. Includes private sector participation

Recipients for Pilot Program for Transit-Oriented Development (TOD) Planning Awards | FTA (dot.gov)
Awards: 129 Projects totaling $104M
Goals for the Program

• Encourage comprehensive or site-specific TOD planning at transit station areas in corridors containing eligible projects

• Support TOD planning work that goes beyond what local agencies would usually fund themselves

• Encourage partnerships to maximize chances for successful TOD implementations
Transit Project Requirements

TOD planning work must be associated with a new fixed guideway or core capacity improvement project as defined in the NOFO

- **Does not** need to be a candidate for or recent recipient of Capital Investment Grants Program funding (or candidate for or recent recipient of any FTA/DOT funding)

- Fixed guideway projects under construction or recently opened can be considered “new”

- Core capacity improvement projects are also “new”
Eligible Applicants

• Existing FTA grantees that meet one of the following:
  • Sponsor of an eligible transit project and partnering with an entity in the project corridor that has land use authority
  • An entity in an eligible transit project corridor that has land use authority and is partnering with the transit project sponsor
  • Sponsor of an eligible transit project and has land use authority
• Only one application per transit project corridor
• Multiple corridors allowed (but separate application needed for each)
Work Plans

Address all six aspects in NOFO section (C)(3)(ii)

A. Enhance economic development and ridership
B. Facilitate multimodal connectivity and accessibility
C. Increase non-motorized access to transit hubs
D. Enable mixed-use development
E. Identify infrastructure needs associated with the transit project
F. Include private sector participation

Identify final deliverables

• See NOFO section (C)(3)(ii) for examples
Grant Conditions

- Maximum 80 percent Federal funding share
- In-kind contributions permitted; transportation development credits (toll revenue credits) do not count towards the local match requirement
- Pre-award authority guidance with notice of award selections
- Section 5303 Metropolitan Planning Program requirements apply
Ineligible Activities

- Transit project development activities that would be reimbursable under an FTA capital grant

- Must be an FTA grant recipient

- Capital projects
  - See NOFO section (C)(3)(iii) for examples
Initial Application Screening Criteria

• Eligible applicant and transit project
• Evidence of partnership
• Complete application including:
  o Overview of comprehensive or site-specific TOD planning study
  o Work plan by task, including substantial deliverables
  o Schedule in terms of milestones
  o Project budget and identification of matching funds
  o Performance measures for the planning work
  o Potential impediments and how the work plan will address it
Formal Application Evaluation Criteria

Based on the information provided in the Applicant and Proposal Profile supplemental form and other attachments

• Demonstrated need for TOD planning funds
• Strength of the work plan, schedule and process
• Funding commitments for TOD planning work
Additional Considerations

FTA’s strategic goals and objectives:

- Address affordable housing needs and mixed-income
- Provide equitable housing choices
- Avoid displacement of low-income households
- Address homelessness
- Geographic diversity
- Diversity in the size of the grantees receiving funding
- Applicant’s receipt of other competitive awards
- Address value capture
- TIFIA/RRIF
Affordable Housing

- **New** section geared at incentivizing affordable housing:
  - 100% federal share for proposals that address 3+ activities related to affordable housing
  - Affordable housing policies may focus on, among other things:
    - Reducing regulatory barriers to the development of affordable housing through inclusionary zoning
    - Reduce parking standards,
    - Support affordable rental opportunities
    - Encourage streamlined permitting for affordable housing units
    - Encourage TOD, including actions that reduce regulatory barriers that unnecessarily raise the costs of housing development or impede the development of affordable housing;
Affordable Housing Cont’

House America Initiative-

• USDOT supports the federal initiative to address the crisis of homelessness by leveraging the federal resources through a Housing First approach.
• Encourages applications to create an affordable plan that address homelessness

Additional Affordable Housing Methods-

• Encourage the inclusion of anti-displacement polices or plans
• Additionally, encourage the implementation of innovative financing methods in planning documents, such as
  – Low-Income Housing Tax Credit (LIHTC)
  – Rehabilitation Credit (Historic Preservation)
Build America Bureau, TIFIA/RRIF Incentive

• New departmental objective:
  • Supports projects that would qualify for TIFIA/RIFF after the completion of a planning study
  • Planning study leads to TOD implementation
  • Build America Bureau (transportation.gov)
Additional Planning Considerations:

New focus on urban/rural development:

• Planning activities that are part of an urbanized area or rural area with lower population density or lower average income levels compared to the adjacent area
  • March 21, 2023, Dear Colleague Letter

• FTA may provide a federal funding share of no less than 90 percent
  • Applicants may request a share up to 100%.

Source: www.smartcitiesdive.com
Environmental Justice

• Environmental justice populations include low income and minority population(s) as defined in Executive Order 12898 “Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations” and FTA’s Environmental Justice Circular 4703.1.

• Applicants are encouraged to identify any EJ populations located within the proposed service area and describe anticipated benefits to that population(s).

• The geographic unit should be appropriate to the scope of the project.

  A formal EJ analysis is not requested.
Equity

Executive Order 13985:

• Advancing Racial Equity and Support for Underserved Communities Through the Federal Government

• Pursue a government-wide approach to advancing equity for all, including people of color and others who have been historically underserved, marginalized, and adversely affected by persistent poverty and inequality
Climate Change

Executive Order 14008 & 13900

• Protecting Public Health and the Environment and Restoring Science to Tackle Climate Crisis
• Tackling the Climate Crisis at Home and Abroad
• An approach to achieve net-zero emissions by no later than 2050
• Addressing the disproportionately high and adverse human health, environmental, climate-related and other cumulative impacts on disadvantaged communities
Justice40, Historically Disadvantaged Communities

Mapping Tool:

• To assist applicants in identifying whether a project is in a Historically Disadvantaged Community.
• Addresses how the project is related to housing or land use reforms.
• Describe specific and direct ways the project will mitigate or reduce climate change impacts.

• Climate and Economic Justice Screening Tool | U.S. Climate Resilience Toolkit
Applying for FTA Grant Opportunities in Grants.gov
FTA Notice of Funding Opportunity

Pilot Program for Transit-Oriented Development Planning FY 2023 Notice of Funding

Date Posted: August 8, 2023  
Date Closed: October 10, 2023

Grant Program: Pilot Program for Transit-Oriented Development Planning – Section 2005(b)

https://www.transit.dot.gov/  
https://www.transit.dot.gov/funding/grants/notices
FTA Notice of Funding Opportunity

FTA NOFO Page contains:

• Summary Description
  o Application requirements
  o Eligibility
• Supplemental Form
  o Applicants should complete and attach this to their submission
• Links to Grants.gov
• Links to Program Information
• Other references and links

FTA NOFO provides hyperlinks to Grants.gov opportunity notice, related documents, and application package.

https://www.transit.dot.gov/funding/grants/notices
NOTE: Anyone can download the application package from Grants.gov. However, only “authorized organizational representatives” can submit applications in Grants.gov.
# Application Package

**Application Package**

**Synopsis**

**Version History**

**Related Documents**

**Package**

## Select Grant Opportunity Package

**PLEASE READ BEFORE APPLYING!** If you view and complete your application package using Grants.gov downloadable PDF forms, you MUST have Adobe Reader installed. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader. If more than one person is working on the PDF forms, ALL applicants must be using the same Adobe Reader version. Click for more information on Adobe Reader Compatibility.

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## Preview Opportunity Package Details

**Opportunity Package Details:**

- **Agency Contact Information:** April McLeanMcCoy@dot.gov
- **Who Can Apply:** Organization Applicants

**PACKAGE FORMS:**

- **Mandatory Forms:** (Click to Preview)
  - SF424 Mandatory Form (V5.0)
  - Grants.gov Lobbying Form (V1.1)
  - Attachments (V1.2)

- **Optional Forms:** (Click to Preview)

- **Download Instructions**

**Close**

**Application for Federal Assistance SP-401 - Mandatory**

- **Major Type of Assistance:**
  - [ ] Educational Assistance
  - [ ] Grant
  - [ ] Loan
  - [ ] Other
- **Source:**
  - [ ] Federal
  - [ ] Non-Federal
  - [ ] Federal Non-Federal
  - [ ] Other
- **Purpose:**
  - [ ] Capital Construction
  - [ ] Research
  - [ ] Operations
- **Priority Area:**
  - [ ] Education
  - [ ] Economic Development
  - [ ] Public Safety
- **Other:**
  - [ ] Federal Award Limit:
  - [ ] Total Federal Award Limit
  - [ ] Total Non-Federal Match
  - [ ] Total Other Funding

**Application Package Details:**

- **Application Title:**
- **Applicant Name:**
- **Applicant ID:**
- **Applicant Address:**
- **Applicant City, State, Zip:**
- **Applicant Phone:**
- **Applicant Email:**

**Application Trust:**

- [ ] Federal
- [ ] Non-Federal
- [ ] Federal Non-Federal
- [ ] Other

**Program Information:**

- **Program Name:**
- **Program Number:**
- **Program Description:**

**Compliance Information:**

- **Compliance Details:**

**Security Information:**

- **Security Details:**

**Organizational Information:**

- **Organizational Name:**
- **Organizational ID:**
- **Organizational Address:**
- **Organizational City, State, Zip:**
- **Organizational Phone:**
- **Organizational Email:**

**Funding Information:**

- **Funding Details:**

**Attachment Information:**

- **Attachment Details:**

**Technical Support:**

- **Support Details:**

**Print Package List**
The “Attachment Form” shown below is included in the SF-424 Grant Application Package in Grants.gov.

Please attach the FTA Supplemental Form to the Attachment Form shown below when submitting in Grants.gov.

CAUTION: Do not use “Supplemental Form” from prior years or create your own form. Please use the file provided on the FTA website.
Applications on Grants.gov

https://www.grants.gov/web/grants/applicants.html
Applicant – Organization Registration

Organizations must register in Grants.gov in order to submit applications.
Workspace in Grants.gov

Get Started on Your Workspace Application

Workspace is the standard way for organizations or individuals to apply for federal grants in Grants.gov. Workspace allows a grant team to simultaneously access and edit different forms within an application. Plus, the forms can be filled out online or offline—your choice.

Grants.gov Workspace also allows applicants and organizations to tailor their application workflow. Here are three approaches that applicants can take when completing a Workspace application:

**Basic**
- Best for organizations with 1-2 registered Grants.gov users
- Application forms are downloaded and emailed to collaborators before being uploaded back to the workspace

**Intermediate**
- Best for organizations with 3-5 registered Grants.gov users
- Applicant teams are typically comprised of an AOR who submits, as well as a Workspace Owner who oversees form completion

**Advanced**
- Best for organizations with external Grants.gov users, such as consultants
- Workspace Owners manages form access
- Workspace Owners can create subforms

Using Custom Roles in Workspace

Organizations are also able to create custom roles and assign these roles to the users affiliated with their organization.

LEARN MORE
Web Addresses

FTA Funding Opportunities:

https://www.transit.dot.gov/funding/grants/notices

Grants.gov:

http://www.grants.gov/
http://www.grants.gov/web/grants/applicants.html

GRANTS.GOV Applicant Support
1-800-518-4726
support@grants.gov
COMMON QUESTIONS
Common Questions

• If we get a TOD planning award, does this mean we must eventually pursue a Capital Investment Grants application?

• We have a BRT service in operation that does not meet the fixed guideway BRT definition in the NOFO, but are considering a possible upgrade that will meet this definition, would this project be eligible?

• My agency received TOD Pilot Program funds under a previous funding opportunity. Are we eligible for consideration of another award?
FTA’s TOD Planning GIS Dashboard

List of Projects
(Sorted by Award Year then State)
- Tempe Streetcar, Tempe, AZ (2015)
- Mid-Cost Corridor, San Diego, CA (2015)
- Peninsula Corridor Electrification Project, San Francisco to San Jose, CA (2015)
- Downtown Riverfront Streetcar, Sacramento and West Sacramento, CA (2015)
- BART Transbay Corridor Core Capacity, Oakland and San Francisco, CA (2015)
- Wave Streetcar, Forth Worth, TX (2015)
- Tri-Rail Coastal Link, Miami-Dade, FL (2015)
- Atlanta BeltLine, Atlanta, GA (2015)
- MARTA L-20 East Rail Extension, DeKalb County, GA (2015)
- Red and Purple Line Modernization Phase One, Chicago, IL (2015)

Projects by Award Year
(Click on a bar to select/unselect)

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Questions & Answers
Thank you!

For more information about the program, visit
http://www.transit.dot.gov/TODPilot

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(202) 366-7429

Please click on survey link in the Chat
https://forms.office.com/g/iQjZMYarS0