





Donna Iken

Office of Program Management Federal Transit Administration



U.S. Department of Transportation Federal Transit Administration

Agenda

- Bipartisan Infrastructure Law (BIL) Background
- Program Overview
- Eligibility Information
- Evaluation Criteria
- Review and Selection
- Civil Rights
- Grants.gov
- Questions



Bipartisan Infrastructure Law (BIL) Background

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria | Review and Selection | Civil Rights | Grants.gov | Questions



Bipartisan Infrastructure Law (BIL)

(Infrastructure Investment and Jobs Act)

- 71% Increase in funding over the FAST Act
- Major new funding for State of Good Repair, Low and No Emission, and CIG Grants
- Continued Existing Structure for FTA Programs focused on urban, rural, and targeted populations

Provided
Funding for 5
Years



- Rail Vehicle Replacement
- All Stations Accessibility
- Low-No Ferries
- Rural Ferries

Created New Competitive Programs





Program Overview

Bipartisan Infrastructure Law (BIL) Background | **Program Overview** | Eligibility Information | Evaluation Criteria | Review and Selection | Civil Rights | Grants.gov | Questions

Program Description

Competitive funding to assist in the funding of capital projects to replace rail rolling stock.

Note, this is not an expansion program

FY24 Funding Available: \$196.9M

2

Multi-year grant awards are permitted at DOT's discretion (up to 3 years).





FY 2022 - FY 2023 Rail Vehicle Replacement Grant Competition Summary



FTA received 18 eligible applications from 13 states with a total federal request of \$3.51 billion.

Total funding awarded was \$703.1 million to 6 projects in 6 states.

• Selected projects are listed on the FTA program webpage.



Important Dates

Date	Activity
October 10, 2023	Notice of Funding Opportunity
December 18, 2023	Applications Due
January and February 2024	Project Evaluations
Estimated Spring 2024	Award Announcement
Starts on date of publication of NOFO in the Federal Register (October 10, 2023)	Pre-Award Authority
September 30, 2027 - The year of award plus 3 fiscal years *	Available for Obligation

^{*}For multi-year grants, subsequent obligations must be made in the following year for a two-year agreement, and each of the two consecutive fiscal years for a three-year agreement, following the fiscal year from which the first obligation is made.



Eligibility Information

Bipartisan Infrastructure Law (BIL) Background | Program Overview | **Eligibility Information** | Evaluation Criteria | Review and Selection | DBE | Grants.gov | Questions

Eligible Applicants (Section C.1)

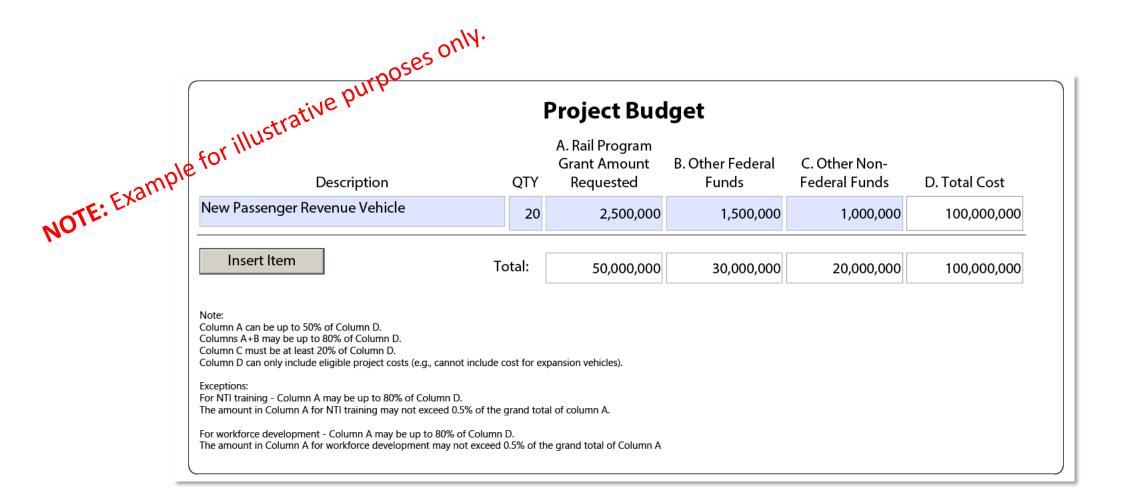
Recipients

- ✓ States (Including territories and Washington, D.C.)
- ✓ Local governmental authorities

Eligible Cost-Sharing or Matching (Section C.2)

Funding Source	Eligible Amount
Competitive Grants for Rail Vehicle Replacement (Rail Program)	Up to 50% of total eligible project costs
Other Federal Funds – including FTA funding and SGR formula funds	Up to 80% of total eligible project costs (including up to 50% from Rail Program)
Non-Federal Funds (Local Match)	At least 20% of total eligible project costs

Eligible Cost-Sharing or Matching (Section C.2)



Eligible Projects (Section C.3)

Replacement of rail rolling stock



Rail rolling stock is defined as revenue service, passenger carrying vehicles, or propulsion (locomotives) vehicles necessary for the provision of rail public transportation. Rail vehicles can include, but are not limited to, commuter rail, heavy rail, and light rail vehicles.

Replacement is defined as the number of vehicles required to replace the number of vehicles to be removed from service that are substantially the same type.

Project must be included in the transit asset management (TAM) plan upon completion of the plan

Eligible Projects (Section C.3)

Vehicle Replacement					
Please complete the table below with information about the existing rail rolling stock to be replaced and the new vehicles planned for procurement.					
NTD Revenue Vehicle Inventory (RVI) ID (existing)	Number of vehicles to be replaced (existing)	Years in revenue service (existing list in number of years)	Maximum vehicle passenger capacity (existing each)	Number of vehicles (new)	Maximum vehicle passenger capacity (new each)
Insert Item (New Fleet ID)					
*Rebuild is defined as a capital expense associated with rolling stock that occurs at or near the end of a unit of rolling stock's useful life, and which results in an extended useful life for the unit of rolling stock consistent with the extent of the rebuilding (FTA Circular 5300 1).					
If yes, please explain:					



Eligible Projects: Workforce Development



Recipients are permitted to use up to 0.5% of the requested grant award for workforce development activities.



An additional 0.5% can be used for training at the National Transit Institute.



See 49 U.S.C. 5314(b)

Examples Include:

- On-the-job training
- Apprenticeships
- Research for training programs
- Employment outreach programs

Ineligible Projects

Projects **not eligible** under this program:

- Vehicles that do not operate on rails;
- Maintenance and other non-revenue vehicles; and
- Fleet expansion projects.

Note: If a procurement includes both expansion and replacement vehicles, only the cost of the replacement vehicles may be included in the total eligible project cost under this program.

Evaluation Criteria

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | **Evaluation Criteria** | Review and Selection | DBE | Grants.gov | Questions

1. Demonstration of Need

- What is the age, condition, and performance of the rail vehicles to be replaced?
- Has the rail rolling stock to be replaced exceeded or will it exceed the useful service life in the 5-year period following the grant? (5 years starting 1 year after the date applications are due)

2. Demonstration of Benefits

- **Safety:** How will the project increase safety of vehicles and how does that impact the broader safety of the transportation system?
- **Performance:** How does the project reduce breakdowns and service interruptions, increase service performance and reliability, and reduce the cost of maintaining outdated vehicles?
- Enhanced Access and Mobility for People with Disabilities: How does the project improve access and mobility for persons with disabilities?
- Combatting Climate Change: Does a replacement locomotive or selfpropelled passenger cars with a locomotive or self-propelled passenger cars produce fewer harmful emissions at the point of service?

3. Planning and Local/Regional Prioritization

- How is the proposed project consistent with rail vehicle replacement priorities in the applicant's Transit Asset Management (TAM) plan?
- How is the proposed project consistent with local and regional planning documents?
- How is the proposed project consistent with local government priorities?
- Are there letters of support from local stakeholders?

4. Local Financial Commitment

- How/when will the local funds be fully secured?
- What is the source of the local share, is it available and set aside for the project?

5. Project Implementation Strategy

- How soon can the proposed project be obligated (within 12 months)?
- How has the applicant considered any planning or environmental requirements?
- How will the project be implemented?

6. Technical, Legal, and Financial Capacity

- Does the applicant have the know-how to carry out the proposed project?
- What technical, legal, or financial capacity issues exist, if any?

Review and Selection

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria | Review and Selection | Civil Rights | Grants.gov | Questions

Additional Considerations (Section E.2)

Age and condition of vehicles to be replaced

Diversity in transit system size

2

Applicant's availability of State of Good Repair Formula funding

Availability of other competitive awards

4

Geographic diversity

5



Additional Considerations (Section E.2)

Applicant's availability of State of Good Repair Formula funding

3

State of Good Repair Formula Funds (SGR)		
Please list the funding amount the applicant receives as part of the State of Good Repair Formula Grant Problem (49 U.S.C. 5337) apportionment.		
Applicant's FY2021 SGR Funding Allocation		
Applicant's FY2022 SGR Funding Allocation		
Applicant's FY2023 SGR Funding Allocation		

Civil Rights

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria | Review and Selection | Civil Rights | Grants.gov | Questions

Nondiscrimination Requirements



Americans with Disabilities Act (ADA). Prohibits discrimination against people with disabilities in several areas, including public transit. Sets service criteria for accessible transit, ADA paratransit requirements, and design standards for vehicles and stations. Applies regardless of federal funding.



Title VI of the Civil Rights Act of 1964. Prohibits discrimination in federally funded programs based on race, color and national origin, included limited English proficiency.



Disadvantaged Business Enterprise (DBE) Program. Prohibits discrimination in DOT-funded contracts by ensuring fair competition for women- and minority-owned businesses bidding and working on DOT-funded contracts.



External EEO Program. Prohibits discrimination against applicants, employees, and former employees of federally funded agencies, including FTA recipients, based on race, color, religion, sex, national origin, age, disability, and genetic information.



Section 5332. Broad nondiscrimination requirement that prohibits FTA funding recipients from discriminating against persons based on race, color, religion, national origin, sex, disability, or age.

Office of Civil Rights Reorganization

What's remained the same

- Recipients to continue to submit program plans in the civil rights module in TrAMS
- TCR to verify submission of program plans for grant award eligibility

What's changed

- Review of program plans is shifting from an RCRO responsibility to new centralized format – the Recipient Oversight division
- Questions related to civil rights
 programs or technical assistance
 requests should be sent to new email
 for the Recipient Support division:
 <u>FTACivilRightsSupport@dot.gov</u>

Civil Rights Program Submissions



Disadvantaged Business Enterprise (DBE)

- DBE applies to capital, operating, and planning funds, including vehicle procurement
- DBE goal setting identifies if there are DBEs available to do work on the project
 - Transit vehicles excluded from goal setting
- Must be purchased from a DBE-certified Transit Vehicle Manufacturer
 - Eligible TVMs found at: <u>www.transit.dot.gov/TVM</u>
- Must be reported to FTA within 30 days of purchase

Americans with Disabilities Act (ADA)

Rail Cars

Accessible vehicles conform with 49 CFR Part 38

Items of note:

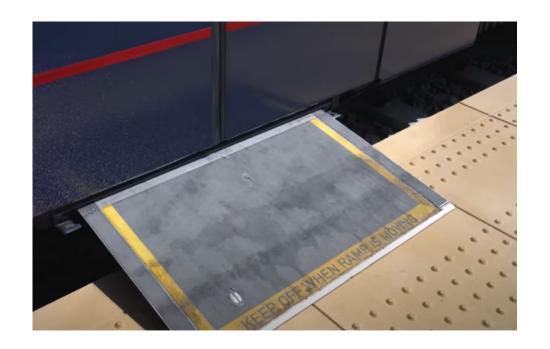
- FTA Circular 4710.1 Chapter 4
- Level Boarding
 - Rapid Rail (no exception, 3" x +/- 5/8")
 - Light Rail (where operated on dedicated ROW; 8" ATR elsewhere, except street boarding)
 - Commuter Rail/Amtrak (37.42, OGC guidance) Low Platforms 8" ATR



Americans with Disabilities Act (ADA)

Equivalent Facilitation

- DOT ADA regulations permit departures from the specific standards where equal or greater accessibility is achieved
 - Accommodates innovation
 - Case-by-case
 - Not an exception
- Determinations made by Administrator of relevant Operating Administration
 - OST approval required
- FTA equivalent facilitation requests processed by TCR

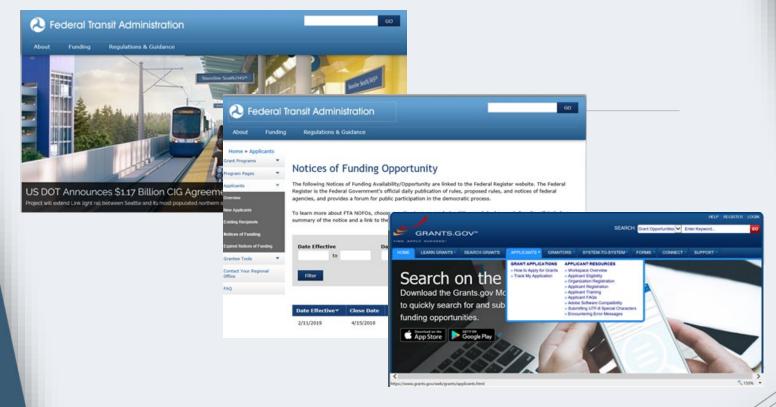


Grants.gov

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria | Review and Selection | Civil Rights | **Grants.gov** | Questions



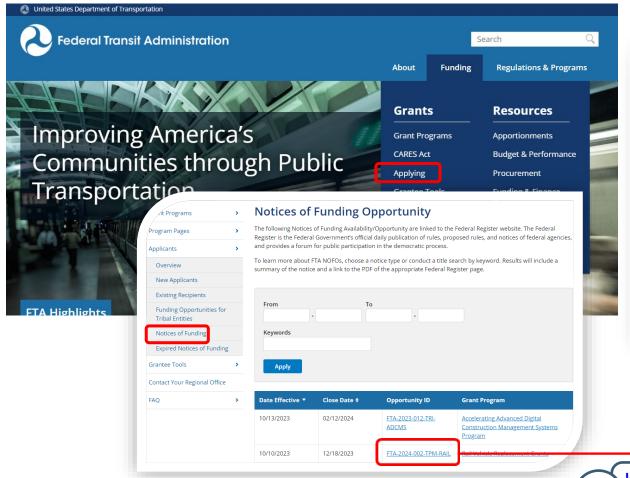
Applying for FTA Grant Opportunities in Grants.gov





U.S. Department of Transportation
Federal Transit Administration

FTA Notice of Funding Opportunity



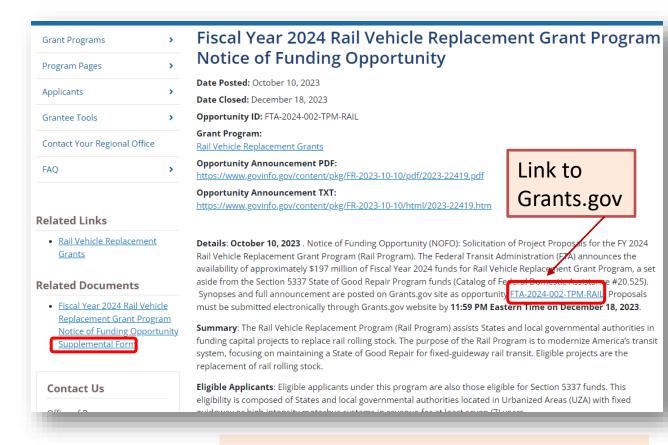


https://www.transit.dot.gov/ https://www.transit.dot.gov/funding/grants/notices

FTA Notice of Funding Opportunity

FTA NOFO Page contains:

- Summary Description
 - Application requirements
 - Eligibility
- Supplemental Form
 - Applicants should complete and attach this to their submission
- Links to Grants.gov
- Links to Program Information
- Other references and links



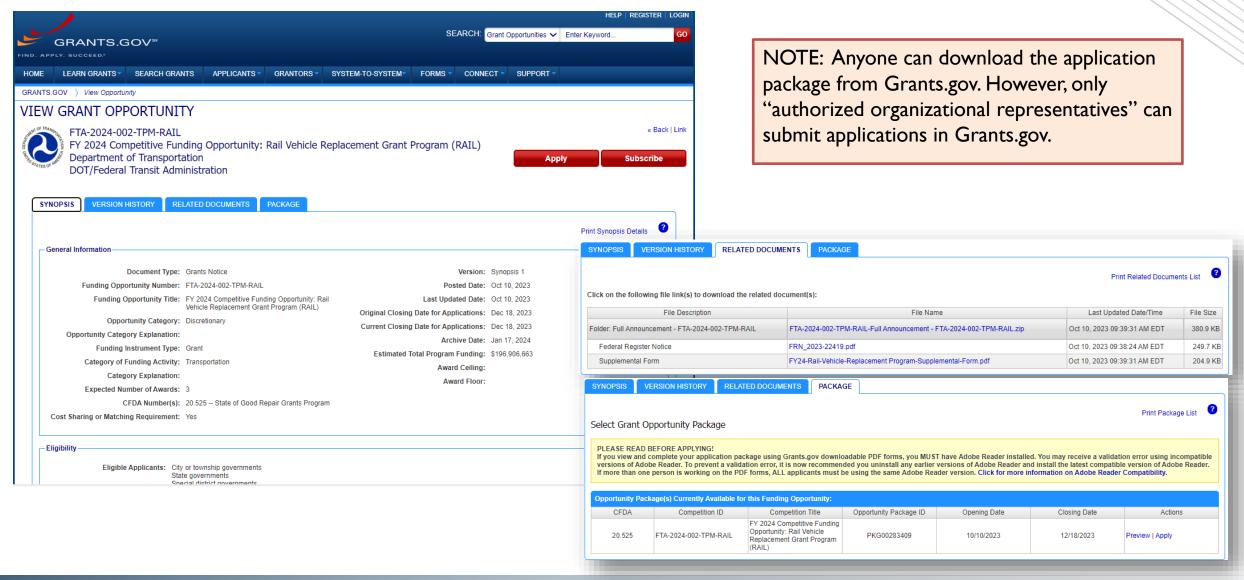


https://www.transit.dot.gov/funding/grants/notices

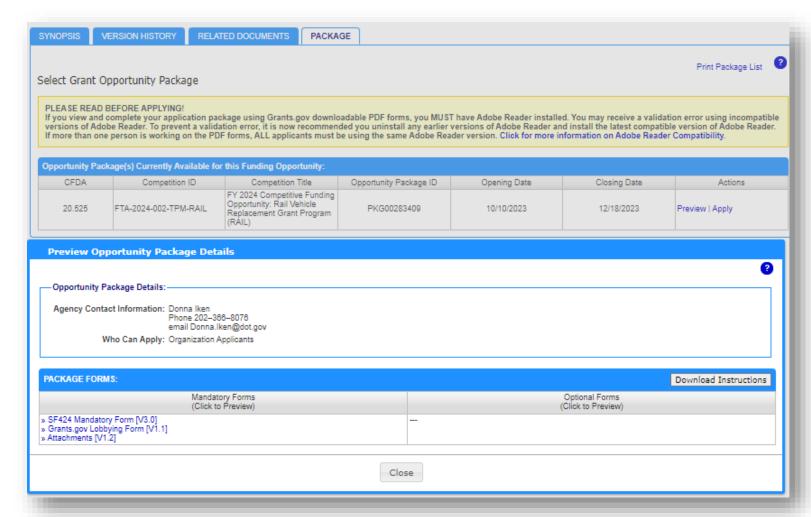
FTA NOFO provides hyperlinks to Grants.gov opportunity notice, related documents, and application package.



Download Application



Application Package



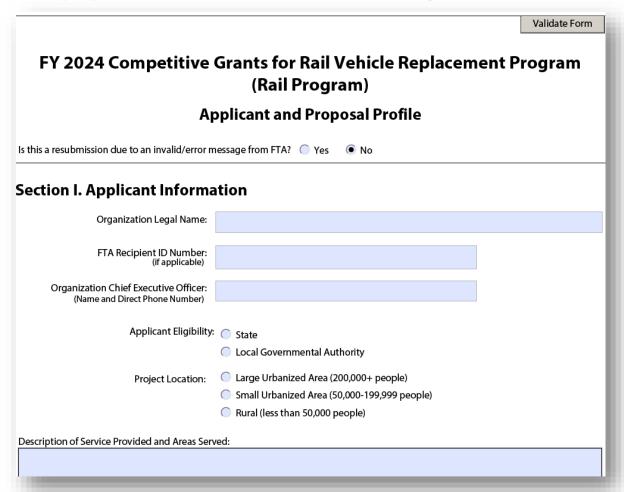
APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY		
1.a. Type of Submission: Application	1.b. Frequency: Annual	1.d. Version: ☐ Initial ☐ Resubmission ☐ Revision ☐ Update
1 = "		2 Date Received: STATE USE ONLY:

1.a. Type of Submission:	1.b. Frequency:	1.d. Version:	
Application	Annual Annual	☐ Initial ☐ Resubmission ☐	Revision Update
Plan	Quarterly	2. Date Received:	STATE USE ONLY:
Funding Request	Other		
Other	-	3. Applicant Identifier:	5. Date Received by State:
Other (specify):	Other (specify):		
		4a. Federal Entity Identifier:	6. State Application Identifier:
]
1.c. Consolidated Application/Plan	/Funding Request?	4b. Federal Award Identifier:	
Yes No Explana			
7. APPLICANT INFORMATION:			
a. Legal Name:			
b. Employer/Taxpayer Identification	n Number (EIN/TIN):	c. Organizational DUNS:	
d. Address:			
Street1:		Street2:	
City:		County / Parish:	
State:	<u> </u>	Province:	
Country:		Zip / Postal Code:	

e. Organizational Unit:

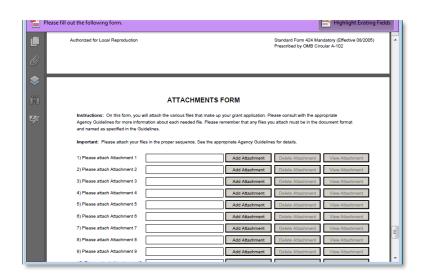
Please	fill out the following form.				Highlight Exist	ting Fields
						\neg
M.		ATTACHMENTS F	ORM			- 1
∅		rill attach the various files that make up y nation about each needed file. Please re delines.				
Ey.	Important: Please attach your file	es in the proper sequence. See the appr	opriate Agency Guideline	es for details.		
	1) Please attach Attachment 1		Add Attachment	Delete Attachment	View Attachment	- 1
	2) Please attach Attachment 2		Add Attachment	Delete Attachment	View Attachment	- 1
	3) Please attach Attachment 3		Add Attachment	Delete Attachment	View Attachment	- 1
	4) Please attach Attachment 4		Add Attachment	Delete Attachment	View Attachment	- 1
	5) Please attach Attachment 5		Add Attachment	Delete Attachment	View Attachment	- 1
	6) Please attach Attachment 6		Add Attachment	Delete Attachment	View Attachment	- 1
	7) Please attach Attachment 7		Add Attachment	Delete Attachment	View Attachment	- 1
	8) Please attach Attachment 8		Add Attachment	Delete Attachment	View Attachment	- 1
	9) Please attach Attachment 9		Add Attachment	Delete Attachment	View Attachment	- 1
	10) Please attach Attachment 10		Add Attachment	Delete Attachment	View Attachment	- 1
	11) Please attach Attachment 11		Add Attachment	Delete Attachment	View Attachment	- 1
	12) Please attach Attachment 12		Add Attachment	Delete Attachment	View Attachment	
	13) Please attach Attachment 13		Add Attachment	Delete Attachment	View Attachment	
	14) Please attach Attachment 14		Add Attachment	Delete Attachment	View Attachment	- 1
					=	- 1

Application Package



The "Attachment Form" shown below is included in the SF-424 Grant Application Package in Grants.gov.

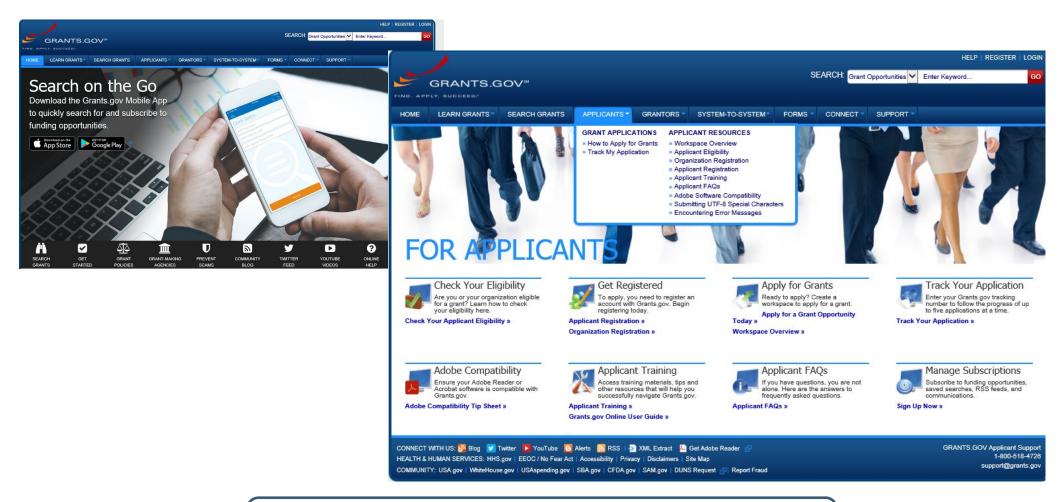
Please attach the **FTA Supplemental Form** to the **Attachment Form** shown below when submitting in Grants.gov.



CAUTION: Do not use "**Supplemental Form**" from prior years or create your own form. Please use the file provided on the FTA website.



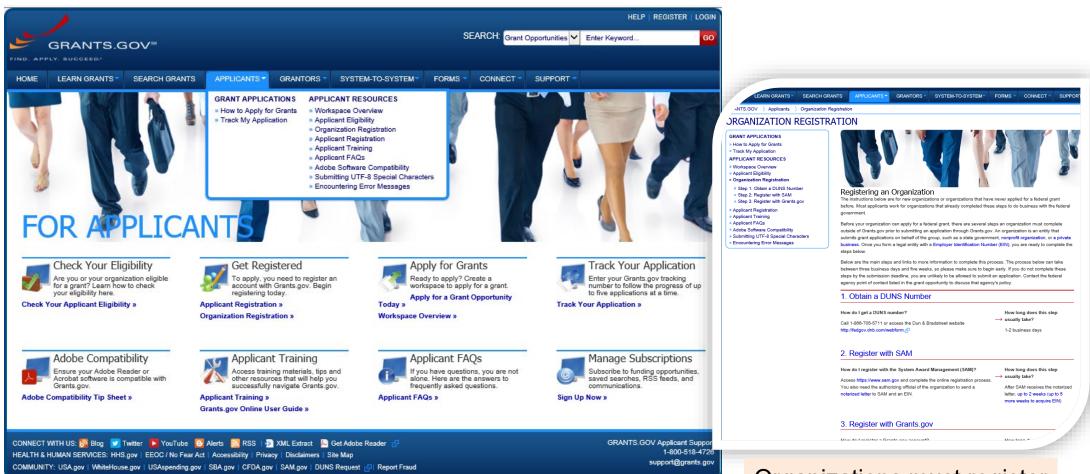
Applications on Grants.gov





https://www.grants.gov/web/grants/applicants.html

Applicant – Organization Registration



Organizations must register in Grants.gov in order to submit applications

Workspace in Grants.gov

GRANTS.GOV) Applicants) Workspace Overview

WORKSPACE OVERVIEW

GRANT APPLICATIONS

- » How to Apply for Grants
- Track My Application

APPLICANT RESOURCES

- Workspace Overview
- » Workspace Basic
- Workspace Intermediate
- Workspace Advanced
- » Workspace Process
- Workspace Roles
- Custom Roles
- Applicant Eligibility
- Organization Registration
- Applicant Registration
- » Applicant Training
- Applicant FAQs
- Adobe Software Compatibility
- Submitting UTF-8 Special Characters
- Encountering Error Messages

Get Started on Your Workspace Application

Workspace is the standard way for organizations or individuals to apply for federal grants in Grants.gov. Workspace allows a grant team to simultaneously access and edit different forms within an application. Plus, the forms can be filled out online or offline-your choice.

Grants.gov Workspace also allows applicants and organizations to tailor their application workflow. Here are three approaches that applicants can take when completing a Workspace application:

Intermediate + Best for organizations with + Best for organizations with 1-2 registered Grants.gov 3-5 registered Grants.gov + Application forms are + Applicant teams are downloaded and emailed to typically comprised of an collaborators before being AOR who submits, as well as

LEARN MORE >

a Workspace Owner who

oversees form completion

Advanced

- + Best for organizations with external Grants.gov use such as consultants
 - + Workspace Owne manages form acce
- + Workspace Owne subforms

LEARN MORE >

GRANTS.GOV) Applicants) Workspace Overview WORKSPACE ROLES

HOME LEARN GRANTS ▼ SEARCH GRANTS

GRANTS.GOV[™]

GRANT APPLICATIONS How to Apply for Grants

- Track My Application
- APPLICANT RESOURCES

Workspace Overview

- » Workspace Basic
- » Workspace Intermediate
- Workspace Advanced
- » Workspace Process
- » Workspace Roles Custom Roles
- Applicant Eligibility
- Organization Registration
- Applicant Registration
- Applicant Training Applicant FAQs
- Adobe Software Compatibility
- Submitting UTF-8 Special Characters Encountering Error Messages
- Administrator Core Role Core Role Expanded AOR Standard AOR Point of Contact

Grants.gov Roles & Privileges

E-Business Point of Contact

The E-Business Point of Contact (EBiz POC) works primarily at the administrative level to (among other tasks) assign roles to organization users once they register with Grants.gov.

When an applicant organization user registers with Grants.gov, the organization E-Business Point of Contact (EBiz

Core Role

Custom Role

POC) -- or a user with the Expanded AOR role -- can assign the user a core role, a custom role, or no role

GRANTORS▼ SYSTEM-TO-SYSTEM▼ FORMS▼ CONNECT▼ SUPPORT▼

Using Custom Roles in Workspace

uploaded back to the

workspace

LEARN MORE >

Organizations are also able to create custom roles and assign these roles to the users affiliate organization.

LEARN MORE >

Basic



SEARCH: Grant Opportunities V Enter Keyword...

Web Addresses

FTA Funding Opportunities:



https://www.transit.dot.gov/funding/grants/notices

Grants.gov:



http://www.grants.gov/

http://www.grants.gov/web/grants/applicants.html

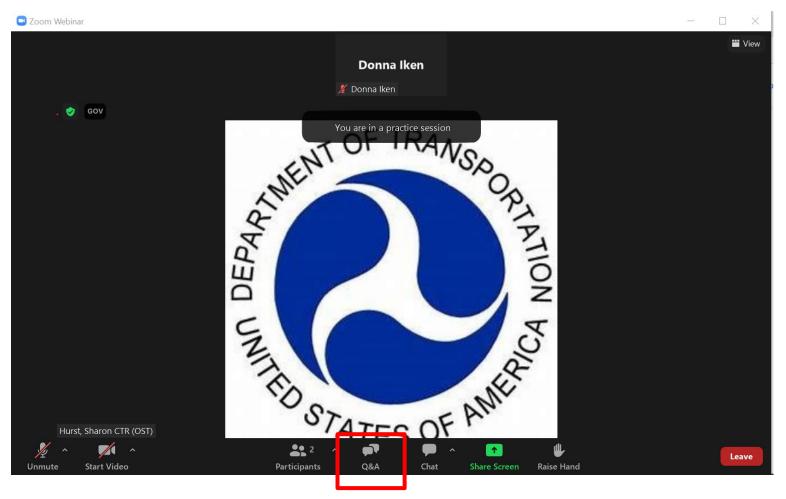
GRANTS.GOV Applicant Support 1-800-518-4726

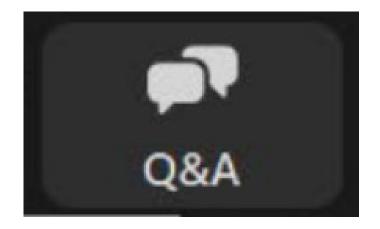
support@grants.gov

Questions

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria | Review and Selection | DBE | Grants.gov | Questions

Asking Questions





QUESTIONS?



Kevin OsbornActing Division Chief
Urbanized Area Programs



Donna Iken
Program Manager
Urbanized Area Programs



Scott Pichon

DBE Policy Lead

Office of Civil Rights



Johnita Glover
Transportation Program Analyst
Grants Management & Guidance

Reach out to Donna

Email: Donna.lken@dot.gov

Phone: 202-366-0876

FTA Mission, Vision, Values

MISSION

Improve America's Communities through Public Transportation



VISION

A Better Quality of Life for All Built on Public Transportation Excellence



,	VALUES

SERVICE	Provide reliable, transparent, responsive, and anticipatory services to meet stakeholder needs
INTEGRITY	Commitment to the highest professional and ethical standards
INNOVATION	Foster new ideas, concepts, and solutions for improved outcomes
SUSTAINABILITY	Optimize decisions, resources, and systems to make long-term positive impacts on the environment, infrastructure, and safety
EQUITY	Remove barriers for systemically underserved communities to access all aspects of economic, social, and civic life
	INTEGRITY INNOVATION SUSTAINABILITY