

Competitive Rail Vehicle Replacement Grant Program (Rail Program) Webinar November 3, 2022



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Office of Program Management
Federal Transit Administration



U.S. Department of Transportation
Federal Transit Administration

Agenda

- Bipartisan Infrastructure Law (BIL) Background
- Program Overview
- Eligibility Information
- Evaluation Criteria
- Review and Selection
- Civil Rights
- Grants.Gov
- Questions



BIPARTISAN INFRASTRUCTURE LAW (BIL) BACKGROUND

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria |
Application Requirements & Documents | Civil Rights | Grants.gov | Questions



Bipartisan Infrastructure Law (BIL)

(Infrastructure Investment and Jobs Act)

- 71% Increase in funding over the FAST Act
- Major new funding for State of Good Repair, Low and No Emission, and CIG Grants
- Continues Existing Structure for FTA Programs focused on urban, rural, and targeted populations

Provides Funding
for 5 Years



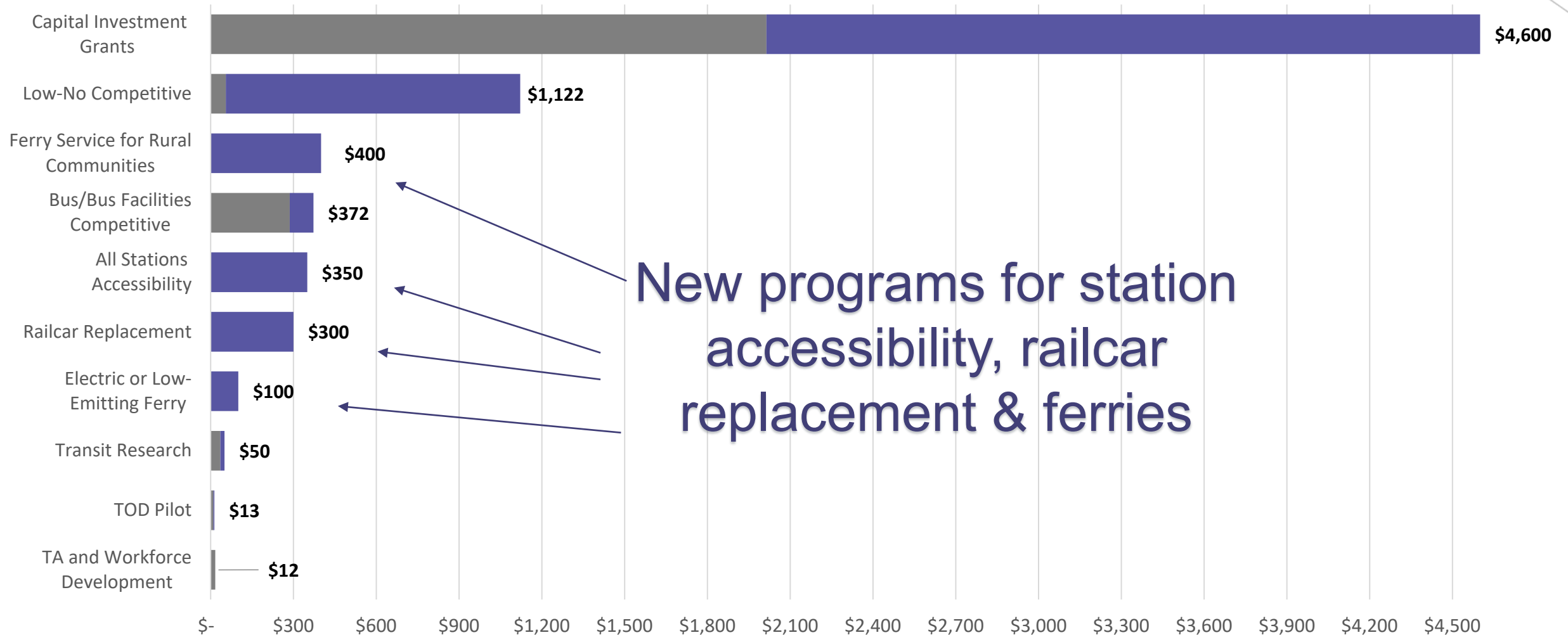
- Rail Vehicle Replacement
- All Stations Accessibility
- Low-No Ferries
- Rural Ferries

Creates New
Competitive
Programs



Competitive & CIG Funding – FY21 to FY22

(Millions of Dollars)



Note: Transit Research includes Bus Testing and NTD

■ FAST FY21 ■ Additional Funding Under BIL (FY22)

State of Good Repair Program Funding (5337)

	FY21 enacted** (in millions)	FY22 enacted (in millions)	FY23 authorized (in millions)	FY24 authorized (in millions)	FY25 authorized (in millions)	FY26 authorized (in millions)
High Intensity Fixed Guideway*	\$2,619.71	\$3,994.20	\$4,063.69	\$4,153.28	\$4,225.17	\$4,316.37
High Intensity Motorbus	\$76.85	\$117.17	\$119.23	\$121.84	\$123.95	\$126.63
Competitive Grants For Rail Vehicle Replacement	----	\$300	\$300	\$300	\$300	\$300
5337 State of Good Repair Total	\$2,723.80	\$4,465.53	\$4,537.78	\$4,630.93	\$4,705.68	\$4,800.50
* Totals include amounts for oversight and administrative takedowns and transfers to the Office of Inspector General.						

**FY21 enacted may include additional appropriations above the FAST Act authorized amounts



PROGRAM OVERVIEW

Bipartisan Infrastructure Law (BIL) Background | **Program Overview** | Eligibility Information | Evaluation Criteria | Application Requirements & Documents | Civil Rights | Grants.gov | Questions



Program Description

- Competitive funding to assist in the funding of capital projects to **replace rail rolling stock**.
- Replacement, not expansion, program.
- Multi-year grant awards are permitted at DOT's discretion (up to 3 years).



Important Dates

Notice of Funding Opportunity	October 12, 2022
Applications Due	January 5, 2023
Project Evaluations	January and February 2023
Award Announcement	Estimated in April 2023
Pre-Award Authority	Starts on date of project announcement
Available for Obligation	<p>The year of award plus 3 fiscal years – September 30, 2026</p> <p>For multi-year grants, subsequent obligations must be made in the following year for a two-year agreement, and each of the two consecutive fiscal years for a three-year agreement, following the fiscal year from which the first obligation is made.</p>



DOT/FTA Program Priorities

The Rail Program supports DOT and FTA's priorities and objectives through investments that

- (1) renew our transit systems;
- (2) advance racial equity;
- (3) connect communities; and
- (4) reduce greenhouse gas emissions.



IMPORTANT Application Information: Multi-Year NOFO

- **FY22 available funds:** \$300M for up to 3 new awards
PLUS
- **FY23 available funds:** \$300M for up to 3 new awards
- This multi-year **FY22 and FY23 NOFO** is a **total** of **\$600M** for up to **6 new awards**.
- **FTA may at its discretion award future FY24 and FY25 funding as permitted by law, totaling an additional \$600M.**

**That's ONE application package submitted, and ONE application process
for BOTH FY22 and FY23 available funding.**



ELIGIBILITY INFORMATION

Bipartisan Infrastructure Law (BIL) Background | Program Overview | **Eligibility Information** | Evaluation Criteria | Review and Selection | DBE | Grants.gov | Questions



Eligible Applicants (Section C.1)

Recipients

- States (including territories and Washington, D.C.)
- Local governmental authorities

Eligible Cost-Sharing or Matching (Section C.2)

Funding Source	Eligible Amount
Competitive Grants for Rail Vehicle Replacement (Rail Program)	Up to 50% of total eligible project costs
Other Federal Funds – including FTA funding and SGR formula funds	Up to 80% of total eligible project costs (including up to 50% from Rail Program)
Non-Federal Funds (Local Match)	At least 20% of total eligible project costs



Eligible Cost-Sharing or Matching (Section C.2)

NOTE: Example for illustrative purposes only.

Project Budget					
Description	QTY	A. Rail Program Grant Amount Requested	B. Other Federal Funds	C. Other Non- Federal Funds	D. Total Cost
New Passenger Revenue Vehicle	20	2,500,000	1,500,000	1,000,000	100,000,000
<input type="button" value="Insert Item"/>	Total:	50,000,000	30,000,000	20,000,000	100,000,000

Note:
Column A can be up to 50% of Column D.
Columns A+B may be up to 80% of Column D.
Column C must be at least 20% of Column D.
Column D can only include eligible project costs (e.g., cannot include cost for expansion vehicles).

Exceptions:
For NTI training - Column A may be up to 80% of Column D.
The amount in Column A for NTI training may not exceed 0.5% of the grand total of column A.

For workforce development - Column A may be up to 80% of Column D.
The amount in Column A for workforce development may not exceed 0.5% of the grand total of Column A



Eligible Projects (Section C.3)

- Replacement of rail rolling stock
 - **Rail rolling stock** is defined as revenue service, passenger carrying vehicles, or propulsion (locomotives) vehicles necessary for the provision of rail public transportation. Rail vehicles can include, but are not limited to, commuter rail, heavy rail, and light rail vehicles.
 - **Replacement** is defined as the number of vehicles required to replace the number of vehicles to be removed from service that are substantially the same type.
- Included in the transit asset management (TAM) plan upon completion of the plan



Eligible Projects (Section C.3)

Vehicle Replacement

Please complete the table below with information about the existing rail rolling stock to be replaced and the new vehicles planned for procurement.

NTD Fleet ID (existing)	Number of vehicles to be replaced (existing)	Years in Revenue Service (existing, list in number of years)	Maximum vehicle passenger capacity (existing, each)	Number of vehicles (new)	Maximum vehicle passenger capacity (new, each)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Insert Item (New Fleet ID)

Did any of these existing vehicles undergo life-extending overhauls? ☐ Yes ☐ No

If yes, please explain:



Eligible Projects: Workforce Development

Workforce Development:

- Recipients are permitted to use up to 0.5% of the requested grant award for workforce development activities.
- An additional 0.5% can be used for training at the National Transit Institute.

See 49 U.S.C. 5314(b)

Examples Include:

- On-the-job training
- Apprenticeships
- Research for training programs
- Employment outreach programs



Ineligible Projects

- Projects **not eligible** under this program:
 - Vehicles that do not operate on rails;
 - Maintenance and other non-revenue vehicles; and
 - Fleet expansion projects.
 - » If a procurement includes both expansion and replacement vehicles, only the cost of the replacement vehicles may be included in the total eligible project cost under this program.



EVALUATION CRITERIA

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | **Evaluation Criteria** |
Review and Selection | DBE | Grants.gov | Questions



Evaluation Criteria (Section E.1)

1. Demonstration of Need

- What is the age, condition, and performance of the rail vehicles to be replaced?
- Has the rail rolling stock to be replaced exceeded or will it exceed the useful service life in the 5-year period following the grant?
(5 years starting 1 year after the date applications are due)



Evaluation Criteria (Section E.1)

2. Demonstration of Benefits

- **Safety:** How will the project increase safety of vehicles and how does that impact the broader safety of the transportation system?
- **Performance:** How does the project reduce breakdowns and service interruptions, increase service performance and reliability, and reduce the cost of maintaining outdated vehicles?
- **Enhanced Access and Mobility for People with Disabilities:** How does the project improve access and mobility for persons with disabilities, including wheelchair users?
- **Combatting Climate Change:** Does a replacement locomotive or self-propelled passenger cars with a locomotive or self-propelled passenger cars produce fewer harmful emissions at the point of service?



Evaluation Criteria (Section E.1)

3. Planning and Local/Regional Prioritization

- How is the proposed project consistent with rail vehicle replacement priorities in the applicant's Transit Asset Management (TAM) plan?
- How is the proposed project consistent with local and regional planning documents?
- How is the proposed project consistent with local government priorities?
- Are there letters of support from local stakeholders?

4. Local Financial Commitment

- How/when will the local funds be fully secured?
- What is the source of the local share, is it available and set aside for the project?



Evaluation Criteria (Section E.1)

5. Project Implementation Strategy

- How soon can the proposed project be obligated (within 12 months)?
- How has the applicant considered any planning or environmental requirements?
- How will the project be implemented?

6. Technical, Legal, and Financial Capacity

- Does the applicant have the know-how to carry out the proposed project?
- What technical, legal, or financial capacity issues exist, if any?



REVIEW AND SELECTION

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria | **Review and Selection** | Civil Rights | Grants.gov | Questions



Additional Considerations (Section E.2)

- Geographic diversity
- Age of vehicles to be replaced
- Diversity in transit system size
- Applicant's availability of State of Good Repair Formula funding or other competitive awards



Additional Considerations (Section E.2)

- Geographic diversity
- Age of vehicles to be replaced
- Diversity in transit system size
- **Applicant's availability of State of Good Repair Formula funding or other competitive awards**

State of Good Repair Formula Funds (SGR)

Please list the funding amount the **applicant** receives as part of the State of Good Repair Formula Grant Program (49 U.S.C. 5337) apportionment.

Applicant's FY2020 SGR Funding Allocation

Applicant's FY2021 SGR Funding Allocation

Applicant's FY2022 SGR Funding Allocation



Additional Considerations (Section E.2)

After applying the above criteria, and in support Executive Order 13985, Advancing Racial Equity and Support for Underserved Communities Through the Federal Government, and Executive Order 14052, Implementation of the Infrastructure Investment and Jobs Act, FTA will give priority to additional considerations.

Racial Equity and Barriers to Opportunity

- planning and policies related to racial equity and overcoming barriers to opportunity
- project investments that either proactively address racial equity and barriers to opportunity, including automobile dependence as a form of barrier, or redress prior inequities and barriers to opportunity.



CIVIL RIGHTS

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria |
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Nondiscrimination Requirements



Americans with Disabilities Act (ADA). Prohibits discrimination against people with disabilities in several areas, including public transit. Sets service criteria for accessible transit, ADA paratransit requirements, and design standards for vehicles and stations. Applies regardless of federal funding.



Title VI of the Civil Rights Act of 1964. Prohibits discrimination in federally funded programs based on race, color and national origin, included limited English proficiency.



Disadvantaged Business Enterprise (DBE) Program. Prohibits discrimination in DOT-funded contracts by ensuring fair competition for women- and minority-owned businesses bidding and working on DOT-funded contracts.



External EEO Program. Prohibits discrimination against applicants, employees, and former employees of federally funded agencies, including FTA recipients, based on race, color, religion, sex, national origin, age, disability, and genetic information.



Section 5332. Broad nondiscrimination requirement that prohibits FTA funding recipients from discriminating against persons based on race, color, *religion*, national origin, *sex*, disability, or *age*.

Civil Rights Program Submissions

Title VI

- Updated every 3 years
- Public Outreach
- Limited English Proficiency
- Complaints
- Service Standards & Equity Analyses

DBE

- Goal updated every 3 years
- Procurement and Contracting Procedures
- Transit Vehicle Manufacturing

EEO

- Updated every 4 years
- Workforce Goal



Disadvantaged Business Enterprise

- DBE applies to capital, operating, and planning funds, including vehicle procurement
- DBE goal-setting identifies if there are DBEs available to do work on the project
 - Transit vehicles excluded from goal setting
- Must be purchased from a DBE certified Transit Vehicle Manufacturer
 - Eligible TVMs found at:
 - www.transit.dot.gov/TVM
- Must be reported to FTA within 30 days of purchase



Americans with Disabilities Act (ADA)

Rail Cars

- Accessible vehicles conform with 49 CFR Part 38

Items of note:

- FTA Circular 4710.1 – Chapter 4
- Level Boarding
 - Rapid Rail (no exception, 3" x +/- 5/8")
 - Light Rail (where operated on dedicated ROW; 8" ATR elsewhere, except street boarding)
 - Commuter Rail/Amtrak (37.42, OGC guidance)
 - » Low Platforms 8" ATR



Americans with Disabilities Act (ADA)

Equivalent Facilitation

- DOT ADA regulations permit departures from the specific standards where equal or greater accessibility is achieved
 - Accommodates innovation
 - Case-by-case
 - **NOT** an exception!
- Determinations made by Administrator of relevant operating administration
 - **OST approval required!**
- FTA equivalent facilitation requests processed by TCR



GRANTS.GOV

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria |
Review and Selection | Civil Rights | **Grants.gov** | Questions



Applying for FTA Grant Opportunities in Grants.gov

The collage consists of three overlapping screenshots:

- Top Left:** A screenshot of the Federal Transit Administration (FTA) website. The header includes the FTA logo and navigation links: About, Funding, Regulations & Guidance. A large banner image shows a light rail train at a station. Below the banner, there is a news item titled "US DOT Announces \$117 Billion CIG Agreement" with a sub-headline "Project will extend Link light rail between Seattle and its most populated northern suburbs".
- Top Right:** A screenshot of the Grants.gov website. The header includes the Grants.gov logo and navigation links: HOME, LEARN GRANTS, SEARCH GRANTS, APPLICANTS, GRANTORS, SYSTEM-TO-SYSTEM, FORMS, CONNECT, SUPPORT. A search bar is visible. The main content area is titled "Notices of Funding Opportunity" and includes a description of the Federal Register and a table with columns "Date Effective" and "Close Date".
- Bottom Right:** A screenshot of a mobile app interface. The app has a blue header with the text "GRANTS.GOV" and a search bar. The main content area is titled "Search on the Grants.gov Mobile App" and includes a list of "GRANT APPLICATIONS" and "APPLICANT RESOURCES". The app is shown being held by a hand.



FTA Notice of Funding Opportunity

United States Department of Transportation

Federal Transit Administration

Search

About Funding Regulations & Programs

Grants

- Grant Programs
- CARES Act
- Applying
- Grantee Tools

Resources

- Apportionments
- Budget & Performance
- Procurement
- Funding & Finance

Improving America's Communities through Public Transportation

FTA Highlights

Funding

- Grant Programs
- Program Pages
- Applicants
- Overview
- New Applicants
- Existing Recipients
- Funding Opportunities for Tribal Entities
- Notices of Funding
- Expired Notices of Funding
- Grantee Tools
- Contact Your Regional Office
- FAQ

Notices of Funding Opportunity

The following Notices of Funding Availability/Opportunity are linked to the Federal Register website. The Federal Register is the Federal Government's official daily publication of rules, proposed rules, and notices of federal agencies, and provides a forum for public participation in the democratic process.

To learn more about FTA NOFOs, choose a notice type or conduct a title search by keyword. Results will include a summary of the notice and a link to the PDF of the appropriate Federal Register page.

From: [] To: []

Keywords: []

Apply

Date Effective	Close Date	Opportunity ID	Grant Program
10/12/2022	01/05/2023	FTA-2023-001-TPM-RAIL	Competitive Grants for Rail Vehicle Replacement Program

Grants / Applying

Grant Programs

Program Pages

Applicants

Grantee Tools

Contact Your Regional Office

FAQ

Fiscal Year 2022 and Fiscal Year 2023 Competitive Grants for Rail Vehicle Replacement Program (Rail Program) Notice of Funding

Date Posted: October 12, 2022

Date Closed: January 5, 2023

Opportunity ID: FTA-2023-001-TPM-RAIL

Grant Program: [Competitive Grants for Rail Vehicle Replacement Program](#)

Opportunity Announcement PDF: <https://www.govinfo.gov/content/pkg/FR-2022-10-12/pdf/2022-22122.pdf>

Opportunity Announcement TXT: <https://www.govinfo.gov/content/pkg/FR-2022-10-12/html/2022-22122.htm>

Related Links

- [Competitive Grants for Rail Vehicle Replacement Program](#)

Related Documents

- [Fiscal Year 2022 and Fiscal Year 2023 Competitive Grants for Rail Vehicle Replacement Program \(Rail Program\) Notice of Funding Supplemental Form](#)

Contact Us

Office of Program Management
Federal Transit Administration
1200 New Jersey Avenue, S.E.
Washington, DC 20590
United States

Phone: 202-366-2053
Fax: 202-366-7951

Details: October 12, 2022. Notice of Funding Opportunity (NOFO): Solicitation of Project Proposals for the FY 2022 and FY 2023. The Federal Transit Administration (FTA) announces the opportunity to apply for \$600 million in Fiscal Year (FY) 2022 and 2023 Section 5337 State of Good Repair Program funds (Catalog of Federal Domestic Assistance #20.525) authorized for competitively selected rail vehicle replacement projects. As required by Federal public transportation law and subject to appropriations, funds will be awarded competitively to States and local governmental authorities to assist in the funding of capital projects to replace rail rolling stock. See the Federal Register Notice. Synopses and a full announcement are posted on Grants.Gov as opportunity [FTA-2023-001-TPM-RAIL](#). Proposals must be submitted electronically through Grants.Gov website by **11:59 PM Eastern Time on January 5, 2023**.

Summary: The Competitive Grants for Rail Vehicle Replacement Program (Rail Program) assists States and local governmental authorities in funding capital projects to replace rail rolling stock. The purpose of the Rail Program is to modernize America's transit system, focusing on maintaining a State of Good Repair for fixed-guideway rail transit. Eligible projects are the replacement of rail rolling stock.

Eligible Applicants: Eligible applicants under this program are also those eligible for Section 5337 funds. This eligibility is composed of States and local governmental authorities located in Urbanized Areas (UZA) with fixed guideway or high intensity motorbus systems in revenue for at least seven (7) years.

All applicants must complete the attached [supplemental form](#) and attach it to their submission in GRANTS.GOV.

Dates: Applicants must submit proposals electronically by **11:59 PM Eastern Time on January 5, 2023**. Any organization intending to apply should initiate the process of registering on the Grants.Gov site immediately to completion of registration before the submission deadline.



<https://www.transit.dot.gov/>
<https://www.transit.dot.gov/funding/grants/notices>

FTA Notice of Funding Opportunity

FTA NOFO Page contains:

- Summary Description
 - Application requirements
 - Eligibility
- Supplemental Form
 - Applicants should complete and attach this to their submission
- Links to Grants.gov
- Links to Program Information
- Other references and links

The screenshot shows the FTA NOFO page for the Rail Vehicle Replacement Program. The page is titled "Fiscal Year 2022 and Fiscal Year 2023 Competitive Grants for Rail Vehicle Replacement Program (Rail Program) Notice of Funding". It includes a sidebar with navigation links: Grant Programs, Program Pages, Applicants, Grantee Tools, Contact Your Regional Office, and FAQ. The main content area provides details about the opportunity, including the date posted (October 12, 2022), date closed (January 5, 2023), opportunity ID (FTA-2023-001-TPM-RAIL), and grant program (Competitive Grants for Rail Vehicle Replacement Program). It also includes links to the opportunity announcement PDF and TXT files. A red box highlights the "Competitive Grants for Rail Vehicle Replacement Program (Rail Program) Notice of Funding Supplemental Form" link in the "Related Documents" section. Another red box highlights the "FTA-2023-001-TPM-RAIL" link in the "Details" section. A red arrow points from a text box "Link to Grants.gov" to the "FTA-2023-001-TPM-RAIL" link.

Home / Funding / Grants / Applying

Grant Programs >

Program Pages >

Applicants >

Grantee Tools >

Contact Your Regional Office

FAQ >

Related Links

- [Competitive Grants for Rail Vehicle Replacement Program](#)

Related Documents

- [Fiscal Year 2022 and Fiscal Year 2023 Competitive Grants for Rail Vehicle Replacement Program \(Rail Program\) Notice of Funding Supplemental Form](#)

Contact Us

Office of Program Management
Federal Transit Administration
1200 New Jersey Avenue, S.E.
Washington, DC 20590
United States

Phone: 202-366-2053
Fax: 202-366-7951

Fiscal Year 2022 and Fiscal Year 2023 Competitive Grants for Rail Vehicle Replacement Program (Rail Program) Notice of Funding

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Opportunity Announcement PDF: <https://www.govinfo.gov/content/pkg/FR-2022-10-12/pdf/2022-22122.pdf>
Opportunity Announcement TXT: <https://www.govinfo.gov/content/pkg/FR-2022-10-12/html/2022-22122.htm>

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Dates: Applicants must submit proposals electronically by **11:59 PM Eastern Time on January 5, 2023**. Any organization intending to apply should initiate the process of registering on the Grants.Gov site immediately to ensure completion of registration before the submission deadline.

Link to
Grants.gov



<https://www.transit.dot.gov/funding/grants/notices>

FTA NOFO provides hyperlinks to Grants.gov opportunity notice, related documents, and application package.



Download Application

GRANTS.GOV™
FIND. APPLY. SUCCEED.™

HELP | REGISTER | LOGIN

SEARCH: Grant Opportunities ▾ Enter Keyword... **GO**

HOME | LEARN GRANTS ▾ | SEARCH GRANTS | APPLICANTS ▾ | GRANTORS ▾ | SYSTEM-TO-SYSTEM* | FORMS ▾ | CONNECT ▾ | SUPPORT ▾

GRANTS.GOV > View Opportunity

VIEW GRANT OPPORTUNITY

FTA-2023-001-TPM-RAIL
FY 2022 and FY 2023 Competitive Funding Opportunity: Competitive Grants for Rail Vehicle Replacement Program (Rail Program)
Department of Transportation
DOT/Federal Transit Administration

« Back | Link

Apply **Subscribe**

SYNOPSIS | VERSION HISTORY | RELATED DOCUMENTS | PACKAGE

Print Synopsis Details ?

General Information

Document Type:	Grants Notice	Version:	Synopsis 2
Funding Opportunity Number:	FTA-2023-001-TPM-RAIL	Posted Date:	Oct 12, 2022
Funding Opportunity Title:	FY 2022 and FY 2023 Competitive Funding Opportunity: Competitive Grants for Rail Vehicle Replacement Program (Rail Program)	Last Updated Date:	Oct 12, 2022
Opportunity Category:	Discretionary	Original Closing Date for Applications:	Jan 05, 2023
Opportunity Category Explanation:		Current Closing Date for Applications:	Jan 05, 2023
Funding Instrument Type:	Grant	Archive Date:	Feb 04, 2023
Category of Funding Activity:	Transportation	Estimated Total Program Funding:	\$600,000,000
Category Explanation:		Award Ceiling:	\$0
Expected Number of Awards:	6	Award Floor:	\$0
CFDA Number(s):	20.525 -- State of Good Repair Grants Program		
Cost Sharing or Matching Requirement:	Yes		

Eligibility

Eligible Applicants: State governments
City or township governments
Special district governments
Others (see text field entitled "Additional Information on Eligibility" for clarification)
Native American tribal governments (Federally recognized)
County governments

Additional Information on Eligibility: Eligible applicants under this program are also those eligible for Section 5337 funds. This eligibility is composed of States and local governmental authorities located in Urbanized Areas (UZA) with fixed guideway or high intensity motorbus systems in revenue for at least seven (7) years.

NOTE: Anyone can download the application package from Grants.gov. However, only “authorized organizational representatives” can submit applications in Grants.gov.

SYNOPSIS | **VERSION HISTORY** | **RELATED DOCUMENTS** | **PACKAGE**

Print Related Documents List ?

Click on the following file link(s) to download the related document(s):

File Description	File Name	Last Updated Date/Time	File Size
Folder: Full Announcement - FTA-2023-001-TPM-RAIL	FTA-2023-001-TPM-RAIL-Full Announcement - FTA-2023-001-TPM-RAIL.zip	Oct 12, 2022 08:52:15 AM EDT	2.4 MB
Federal Register Notice	2022-22122.pdf	Oct 12, 2022 08:51:31 AM EDT	254.1 KB
Supplemental Form	FY22-and-FY23-Rail-Vehicle-Replacement Program-Supplemental-Form.pdf	Oct 12, 2022 08:52:15 AM EDT	2.3 MB

SYNOPSIS | **VERSION HISTORY** | **RELATED DOCUMENTS** | **PACKAGE**

Print Package List ?

Select Grant Opportunity Package

PLEASE READ BEFORE APPLYING!
If you view and complete your application package using Grants.gov downloadable PDF forms, you **MUST** have Adobe Reader installed. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader. If more than one person is working on the PDF forms, **ALL** applicants must be using the same Adobe Reader version. [Click for more information on Adobe Reader Compatibility.](#)

Opportunity Package(s) Currently Available for this Funding Opportunity:

CFDA	Competition ID	Competition Title	Opportunity Package ID	Opening Date	Closing Date	Actions
20.525	FTA-2023-001-TPM-RAIL	FY 2022 and FY 2023 Competitive Funding Opportunity: Competitive Grants for Rail Vehicle Replacement Program (Rail Program)	PKG00277225	10/12/2022	01/05/2023	Preview Apply

Application Package

SYNOPSIS

VERSION HISTORY

RELATED DOCUMENTS

PACKAGE

Print Package List

Select Grant Opportunity Package

PLEASE READ BEFORE APPLYING!

If you view and complete your application package using Grants.gov downloadable PDF forms, you MUST have Adobe Reader installed. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader. If more than one person is working on the PDF forms, ALL applicants must be using the same Adobe Reader version. [Click for more information on Adobe Reader Compatibility.](#)

Opportunity Package(s) Currently Available for this Funding Opportunity:

CFDA	Competition ID	Competition Title	Opportunity Package ID	Opening Date	Closing Date	Actions
20.525	FTA-2023-001-TPM-RAIL	FY 2022 and FY 2023 Competitive Funding Opportunity: Competitive Grants for Rail Vehicle Replacement Program (Rail Program)	PKG00277225	10/12/2022	01/05/2023	Preview Apply

Preview Opportunity Package Details

Opportunity Package Details:

Agency Contact Information:

Donna Iken
FTA Office of Program Management
E-mail: donna.iken@dot.gov
Phone: 202-368-0876

Who Can Apply: Organization Applicants

PACKAGE FORMS:

Mandatory Forms
(Click to Preview)

Optional Forms
(Click to Preview)

[» SF424 Mandatory Form \[V3.0\]](#)
[» Grants.gov Lobbying Form \[V1.1\]](#)
[» Attachments \[V1.2\]](#)

Download Instructions

Close

OMB Number: 4040-0002
Expiration Date: 01/31/2019

APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY

1.a. Type of Submission:
☒ Application
☐ Plan
☐ Funding Request
☐ Other
Other (specify):

1.b. Frequency:
☒ Annual
☐ Quarterly
☐ Other
Other (specify):

1.d. Version:
☒ Initial ☐ Resubmission ☐ Revision ☐ Update
2. Date Received:
3. Applicant Identifier:
4a. Federal Entity Identifier:
1.c. Consolidated Application/Plan/Funding Request?
Yes ☐ No ☒ Explanation:
7. APPLICANT INFORMATION:
a. Legal Name:
b. Employer/Taxpayer Identification Number (EIN/TIN):
c. Organizational DUNS:
d. Address:
Street:
City:
State:
Country:
e. Organizational Unit:

STATE USE ONLY:
5. Date Received by State:
6. State Application Identifier:
4b. Federal Award Identifier:

Please fill out the following form.

Highlight Existing Fields

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1

2) Please attach Attachment 2

3) Please attach Attachment 3

4) Please attach Attachment 4

5) Please attach Attachment 5

6) Please attach Attachment 6

7) Please attach Attachment 7

8) Please attach Attachment 8

9) Please attach Attachment 9

10) Please attach Attachment 10

11) Please attach Attachment 11

12) Please attach Attachment 12

13) Please attach Attachment 13

14) Please attach Attachment 14

15) Please attach Attachment 15

Add Attachment

Delete Attachment

View Attachment

Application Package

Validate Form

FY 2022 and FY 2023 Competitive Grants for Rail Vehicle Replacement Program (Rail Program)

Applicant and Proposal Profile

Is this a resubmission due to an invalid/error message from FTA? ☐ Yes ☒ No

Section I. Applicant Information

Organization Legal Name:

FTA Recipient ID Number:
(if applicable)

Organization Chief Executive Officer:
(name and direct phone number)

Applicant Eligibility: ☐ State ☐ Local Governmental Authority

Project Location: ☐ Large Urbanized Area (200,000+ people) ☐ Small Urbanized Area (50,000-199,999 people) ☐ Rural (less than 50,000 people)

Description of Service Provided and Areas Served:

The “**Attachment Form**” shown below is included in the SF-424 Grant Application Package in Grants.gov.

Please attach the **FTA Supplemental Form** to the **Attachment Form** shown below when submitting in Grants.gov.

Please fill out the following form.

Highlight Existing Fields

Authorized for Local Reproduction

Standard Form 424 Mandatory (Effective 08/2005)
Prescribed by OMB Circular A-102

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1

2) Please attach Attachment 2

3) Please attach Attachment 3

4) Please attach Attachment 4

5) Please attach Attachment 5

6) Please attach Attachment 6

7) Please attach Attachment 7

8) Please attach Attachment 8

9) Please attach Attachment 9

Add Attachment

Delete Attachment

View Attachment

CAUTION: Do not use “**Supplemental Form**” from prior years or create your own form. Please use the file provided on the FTA website.

Applications on Grants.gov

The screenshot displays the Grants.gov website interface. At the top, there is a navigation bar with links for HOME, LEARN GRANTS, SEARCH GRANTS, APPLICANTS, GRANTORS, SYSTEM-TO-SYSTEM, FORMS, CONNECT, and SUPPORT. A search bar is located in the top right corner. The main content area is titled 'FOR APPLICANTS' and features a large image of people walking. Below this, there are several sections for applicants, each with a brief description and a link to a specific page. The footer contains contact information for Grants.gov and links to various government websites.

Search on the Go
Download the Grants.gov Mobile App to quickly search for and subscribe to funding opportunities.

GRANTS.GOV™
FIND. APPLY. SUCCEED.™

FOR APPLICANTS

- GRANT APPLICATIONS**
 - » How to Apply for Grants
 - » Track My Application
- APPLICANT RESOURCES**
 - » Workspace Overview
 - » Applicant Eligibility
 - » Organization Registration
 - » Applicant Registration
 - » Applicant Training
 - » Applicant FAQs
 - » Adobe Software Compatibility
 - » Submitting UTF-8 Special Characters
 - » Encountering Error Messages

Check Your Eligibility
Are you or your organization eligible for a grant? Learn how to check your eligibility here.
[Check Your Applicant Eligibility »](#)

Get Registered
To apply, you need to register an account with Grants.gov. Begin registering today.
[Applicant Registration »](#)
[Organization Registration »](#)

Apply for Grants
Ready to apply? Create a workspace to apply for a grant.
[Today »](#)
[Apply for a Grant Opportunity](#)
[Workspace Overview »](#)

Track Your Application
Enter your Grants.gov tracking number to follow the progress of up to five applications at a time.
[Track Your Application »](#)

Adobe Compatibility
Ensure your Adobe Reader or Acrobat software is compatible with Grants.gov.
[Adobe Compatibility Tip Sheet »](#)

Applicant Training
Access training materials, tips and other resources that will help you successfully navigate Grants.gov.
[Applicant Training »](#)
[Grants.gov Online User Guide »](#)

Applicant FAQs
If you have questions, you are not alone. Here are the answers to frequently asked questions.
[Applicant FAQs »](#)

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COMMUNITY: [USA.gov](#) | [WhiteHouse.gov](#) | [USAspending.gov](#) | [SBA.gov](#) | [CFDA.gov](#) | [SAM.gov](#) | [DUNS Request](#) | [Report Fraud](#)

GRANTS.GOV Applicant Support
1-800-518-4728
support@grants.gov



<https://www.grants.gov/web/grants/applicants.html>



Applicant – Organization Registration

The screenshot shows the Grants.gov homepage with a navigation bar at the top containing links like HOME, LEARN GRANTS, SEARCH GRANTS, APPLICANTS, GRANTORS, SYSTEM-TO-SYSTEM, FORMS, CONNECT, and SUPPORT. A search bar is also present. The main content area is titled 'FOR APPLICANTS' and features a large image of people walking. Below this, there are several sections: 'Check Your Eligibility', 'Get Registered', 'Apply for Grants', 'Track Your Application', 'Adobe Compatibility', 'Applicant Training', 'Applicant FAQs', and 'Manage Subscriptions'. Each section has a brief description and a link to the relevant page. A sidebar on the right lists 'GRANT APPLICATIONS' and 'APPLICANT RESOURCES'.

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FIND. APPLY. SUCCEED.™

HELP | REGISTER | LOGIN

SEARCH: Grant Opportunities Enter Keyword... GO

HOME | LEARN GRANTS | SEARCH GRANTS | APPLICANTS | GRANTORS | SYSTEM-TO-SYSTEM | FORMS | CONNECT | SUPPORT

FOR APPLICANTS

Check Your Eligibility
Are you or your organization eligible for a grant? Learn how to check your eligibility here.
[Check Your Applicant Eligibility »](#)

Get Registered
To apply, you need to register an account with Grants.gov. Begin registering today.
[Applicant Registration »](#)
[Organization Registration »](#)

Apply for Grants
Ready to apply? Create a workspace to apply for a grant.
[Apply for a Grant Opportunity Today »](#)
[Workspace Overview »](#)

Track Your Application
Enter your Grants.gov tracking number to follow the progress of up to five applications at a time.
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Adobe Compatibility
Ensure your Adobe Reader or Acrobat software is compatible with Grants.gov.
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If you have questions, you are not alone. Here are the answers to frequently asked questions.
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Manage Subscriptions
Subscribe to funding opportunities, saved searches, RSS feeds, and communications.
[Sign Up Now »](#)

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HEALTH & HUMAN SERVICES: HHS.gov | EEOC / No Fear Act | Accessibility | Privacy | Disclaimers | Site Map

COMMUNITY: USA.gov | WhiteHouse.gov | USAspending.gov | SBA.gov | CFDA.gov | SAM.gov | DUNS Request | Report Fraud

GRANTS.GOV Applicant Support
1-800-518-4726
support@grants.gov

The screenshot shows the 'ORGANIZATION REGISTRATION' page on Grants.gov. It includes a sidebar with navigation links and a main content area with a large image of people walking. The main content area is titled 'ORGANIZATION REGISTRATION' and contains a section 'Registering an Organization' with instructions for new organizations. Below this, there are three numbered steps: '1. Obtain a DUNS Number', '2. Register with SAM', and '3. Register with Grants.gov'. Each step has a brief description and a link to the relevant page.

LEARN GRANTS | SEARCH GRANTS | APPLICANTS | GRANTORS | SYSTEM-TO-SYSTEM | FORMS | CONNECT | SUPPORT

NTS.GOV | Applicants | Organization Registration

ORGANIZATION REGISTRATION

GRANT APPLICATIONS
» How to Apply for Grants
» Track My Application

APPLICANT RESOURCES
» Workspace Overview
» Applicant Eligibility
» Organization Registration
» Step 1: Obtain a DUNS Number
» Step 2: Register with SAM
» Step 3: Register with Grants.gov
» Applicant Registration
» Applicant Training
» Adobe Software Compatibility
» Submitting UTF-8 Special Characters
» Encountering Error Messages

Registering an Organization
The instructions below are for new organizations or organizations that have never applied for a federal grant before. Most applicants work for organizations that already completed these steps to do business with the federal government.

Before your organization can apply for a federal grant, there are several steps an organization must complete outside of Grants.gov prior to submitting an application through Grants.gov. An organization is an entity that submits grant applications on behalf of the group, such as a state government, nonprofit organization, or a private business. Once you form a legal entity with a **Employer Identification Number (EIN)**, you are ready to complete the steps below.

Below are the main steps and links to more information to complete this process. The process below can take between three business days and five weeks, so please make sure to begin early. If you do not complete these steps by the submission deadline, you are unlikely to be allowed to submit an application. Contact the federal agency point of contact listed in the grant opportunity to discuss that agency's policy.

1. Obtain a DUNS Number

How do I get a DUNS number?
Call 1-866-705-5711 or access the Dun & Bradstreet website
<http://fedgov.dnb.com/webform>

How long does this step usually take?
1-2 business days

2. Register with SAM

How do I register with the System Award Management (SAM)?
Access <https://www.sam.gov> and complete the online registration process. You also need the authorizing official of the organization to send a notarized letter to SAM and an EIN.

How long does this step usually take?
After SAM receives the notarized letter, up to 2 weeks (up to 5 more weeks to acquire EIN)

3. Register with Grants.gov

How do I register a Grants.gov account?

Organizations must register in Grants.gov in order to submit applications

Workspace in Grants.gov

GRANTS.GOV > Applicants > Workspace Overview

WORKSPACE OVERVIEW

GRANT APPLICATIONS

- » [How to Apply for Grants](#)
- » [Track My Application](#)

APPLICANT RESOURCES

- » [Workspace Overview](#)
- » [Workspace Basic](#)
- » [Workspace Intermediate](#)
- » [Workspace Advanced](#)
- » [Workspace Process](#)
- » [Workspace Roles](#)
- » [Custom Roles](#)
- » [Applicant Eligibility](#)
- » [Organization Registration](#)
- » [Applicant Registration](#)
- » [Applicant Training](#)
- » [Applicant FAQs](#)
- » [Adobe Software Compatibility](#)
- » [Submitting UTF-8 Special Characters](#)
- » [Encountering Error Messages](#)

Get Started on Your Workspace Application

Workspace is the standard way for organizations or individuals to apply for federal grants in Grants.gov. Workspace allows a grant team to simultaneously access and edit different forms within an application. Plus, the forms can be filled out online or offline—your choice.

Grants.gov Workspace also allows applicants and organizations to tailor their application workflow. Here are three approaches that applicants can take when completing a Workspace application:

Basic

- + Best for organizations with 1-2 registered Grants.gov users
- + Application forms are downloaded and emailed to collaborators before being uploaded back to the workspace

[LEARN MORE >](#)

Intermediate

- + Best for organizations with 3-5 registered Grants.gov users
- + Applicant teams are typically comprised of an AOR who submits, as well as a Workspace Owner who oversees form completion

[LEARN MORE >](#)

Advanced

- + Best for organizations with external Grants.gov users such as consultants
- + Workspace Owner manages form access
- + Workspace Owner manages subforms

[LEARN MORE >](#)

Using Custom Roles in Workspace

Organizations are also able to create custom roles and assign these roles to the users affiliated with the organization.

[LEARN MORE >](#)

WORKSPACE ROLES

GRANT APPLICATIONS

- » [How to Apply for Grants](#)
- » [Track My Application](#)

APPLICANT RESOURCES

- » [Workspace Overview](#)
- » [Workspace Basic](#)
- » [Workspace Intermediate](#)
- » [Workspace Advanced](#)
- » [Workspace Process](#)
- » [Workspace Roles](#)
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- » [Applicant FAQs](#)
- » [Adobe Software Compatibility](#)
- » [Submitting UTF-8 Special Characters](#)
- » [Encountering Error Messages](#)

Grants.gov Roles & Privileges

When an applicant organization user registers with Grants.gov, the organization E-Business Point of Contact (EBiz POC) -- or a user with the Expanded AOR role -- can assign the user a core role, a custom role, or no role.

EBIZ Administrator
EXP Core Role Expanded AOR
AOR Core Role Standard AOR
WM Core Role Workspace Manager
CUST Custom Role
NO ROLE No Role

E-Business Point of Contact

The E-Business Point of Contact (EBiz POC) works primarily at the administrative level to (among other tasks) assign roles to organization users once they register with Grants.gov.

Web Addresses

FTA Funding Opportunities:



<https://www.transit.dot.gov/funding/grants/notices>

Grants.gov:



<http://www.grants.gov/>
<http://www.grants.gov/web/grants/applicants.html>

GRANTS.GOV Applicant Support
1-800-518-4726
support@grants.gov



Important Links

Notice of Funding Opportunity: <https://www.transit.dot.gov/notices-funding/fiscal-year-2022-and-fiscal-year-2023-competitive-grants-rail-vehicle-replacement>

Application Information: <https://www.grants.gov/> (search for “Rail Program” or Opportunity ID: FTA-2023-001-TPM-RAIL)

Rail Program Webpage: <https://www.transit.dot.gov/grant-programs/competitive-grants-rail-vehicle-replacement-program>

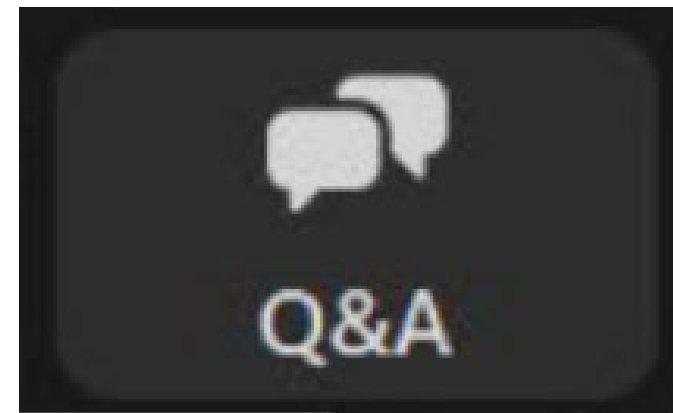
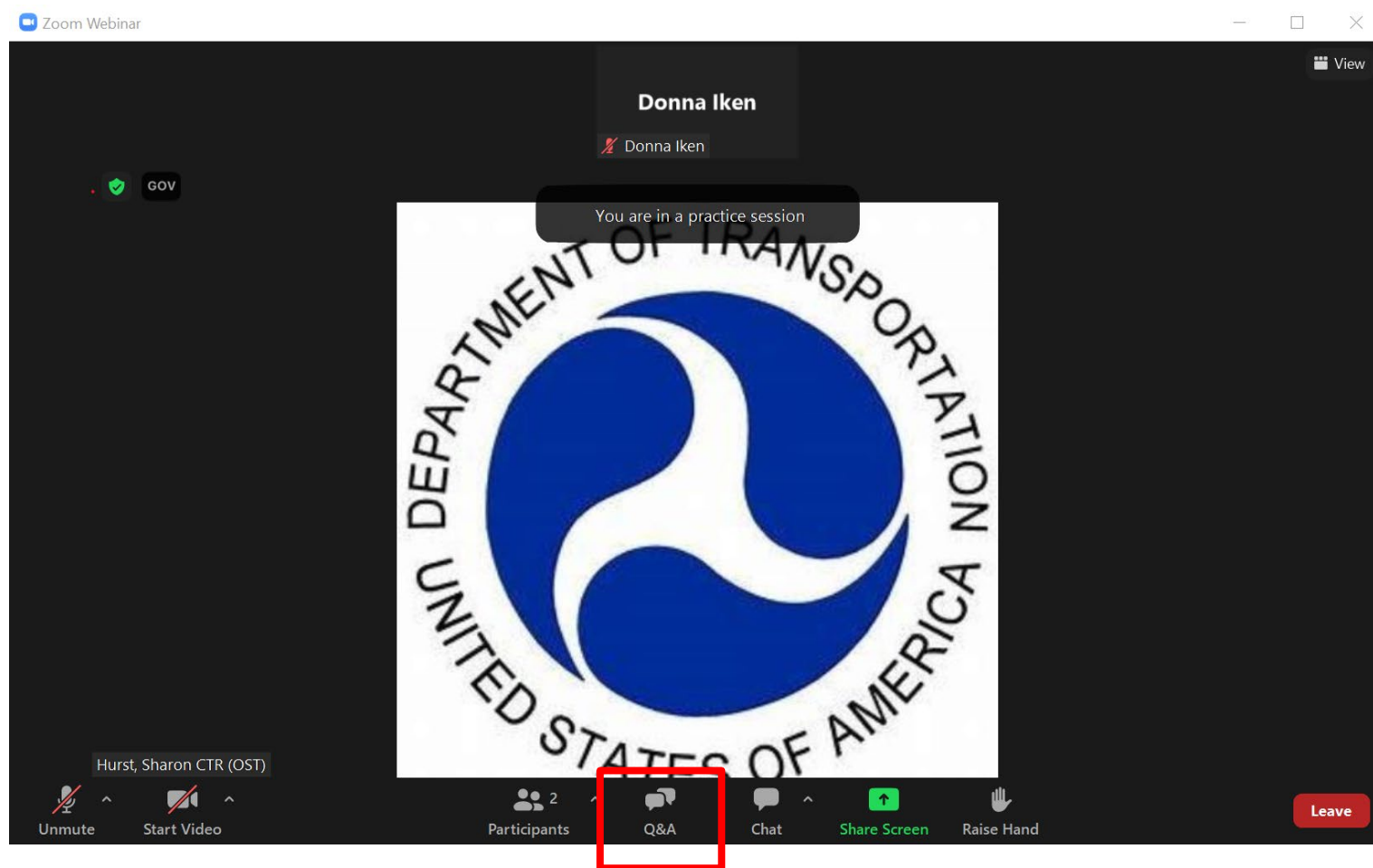


QUESTIONS

Bipartisan Infrastructure Law (BIL) Background | Program Overview | Eligibility Information | Evaluation Criteria | Review and Selection | DBE | Grants.gov | **Questions**



Asking Questions



QUESTIONS?



Johnita Glover
Transportation Program Analyst
Grants Management & Guidance



Donna Iken
Program Manager
Urbanized Area Programs



Mark Bathrick
Division Chief
Urbanized Area Programs



Monica McCallum
Director of Recipient Civil
Rights

Reach out to Donna

Email: Donna.Iken@dot.gov

Phone: 202-366-0876



FTA Mission, Vision, Values

MISSION

Improve America's Communities
through Public Transportation



VISION

A Better Quality of Life for All Built
on Public Transportation Excellence



VALUES



SERVICE

Provide reliable, transparent, responsive, and anticipatory services to meet stakeholder needs

INTEGRITY

Commitment to the highest professional and ethical standards

INNOVATION

Foster new ideas, concepts, and solutions for improved outcomes

SUSTAINABILITY

Optimize decisions, resources, and systems to make long-term positive impacts on the environment, infrastructure, and safety

EQUITY

Remove barriers for systemically underserved communities to access all aspects of economic, social, and civic life

