

FTA

FEDERAL TRANSIT ADMINISTRATION

2018 APTA Rail Conference

State Safety Oversight Updates

Office of Transit Safety and Oversight
Federal Transit Administration

June 12, 2018

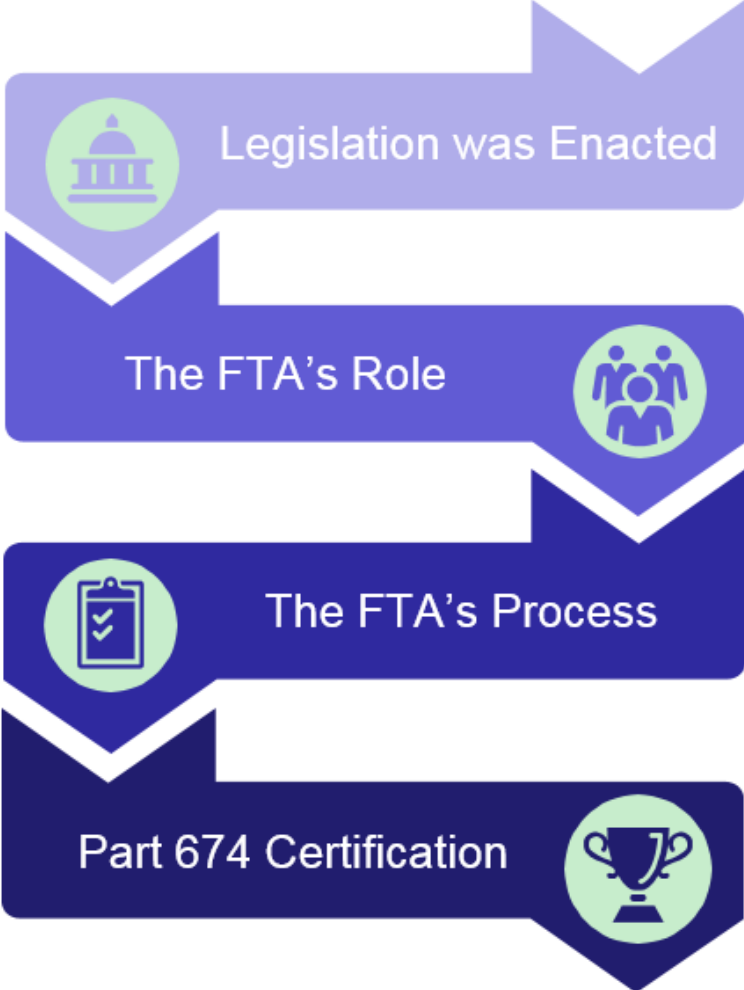


U.S. Department of Transportation
Federal Transit Administration

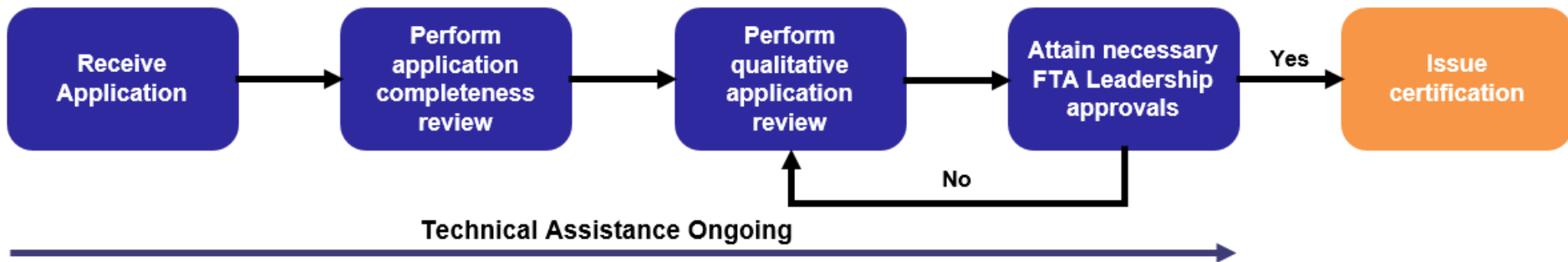
Overview

- State Safety Oversight (SSO) Program Background
- SSO Certification Process
- Certification Status
- Resources
- Questions

SSO Program Background



Certification Application Review Process



Financial Penalty

What if a state misses the April 15, 2019 deadline?

- FTA can't obligate financial assistance. Funds must be withheld until SSOP is certified by FTA.
- State-wide impact
 - Applies to all Chapter 53 grant recipients

Deadlines

Deadlines	Dates
Compliance Deadline	April 15, 2019
Submission Deadline	September 30, 2018

What FTA is doing for SSOAs

- \$136 million in grant funds (since FY2013)
- Technical assistance workshops
- Monthly one-on-one calls
- Quarterly conference calls
- Certification toolkit
- Site visits

SSO Audits

- FY18 audits postponed
- Audits scheduled for FY19

Post Certification

	49 CFR Part 659	49 CFR Part 674
Financial & Legal Independence	None required	Is financially and legally independent from any public transportation entity that the State safety oversight agency oversees
Operational Independence	None required	Does not directly provide public transportation services in an area with a rail fixed guideway public transportation system subject to the requirements of this section
Administrative Independence	A party or entity could not provide services to both the oversight agency and the transit agency it oversees	Does not employ any individual who is also responsible for the administration of rail fixed guideway public transportation programs subject to the requirements of this section
Oversight Agency Authority	Varied from state to state	Has the authority to review, approve, oversee, and enforce the implementation by the rail fixed guideway public transportation agency of the public transportation agency safety plan

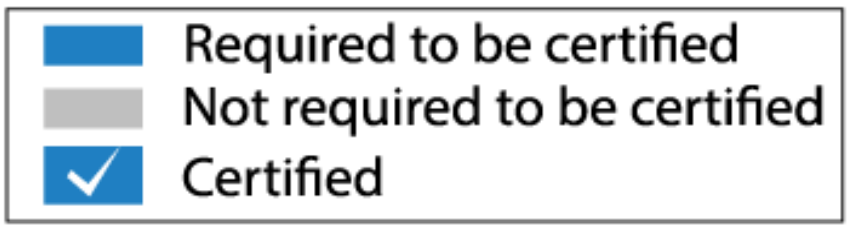
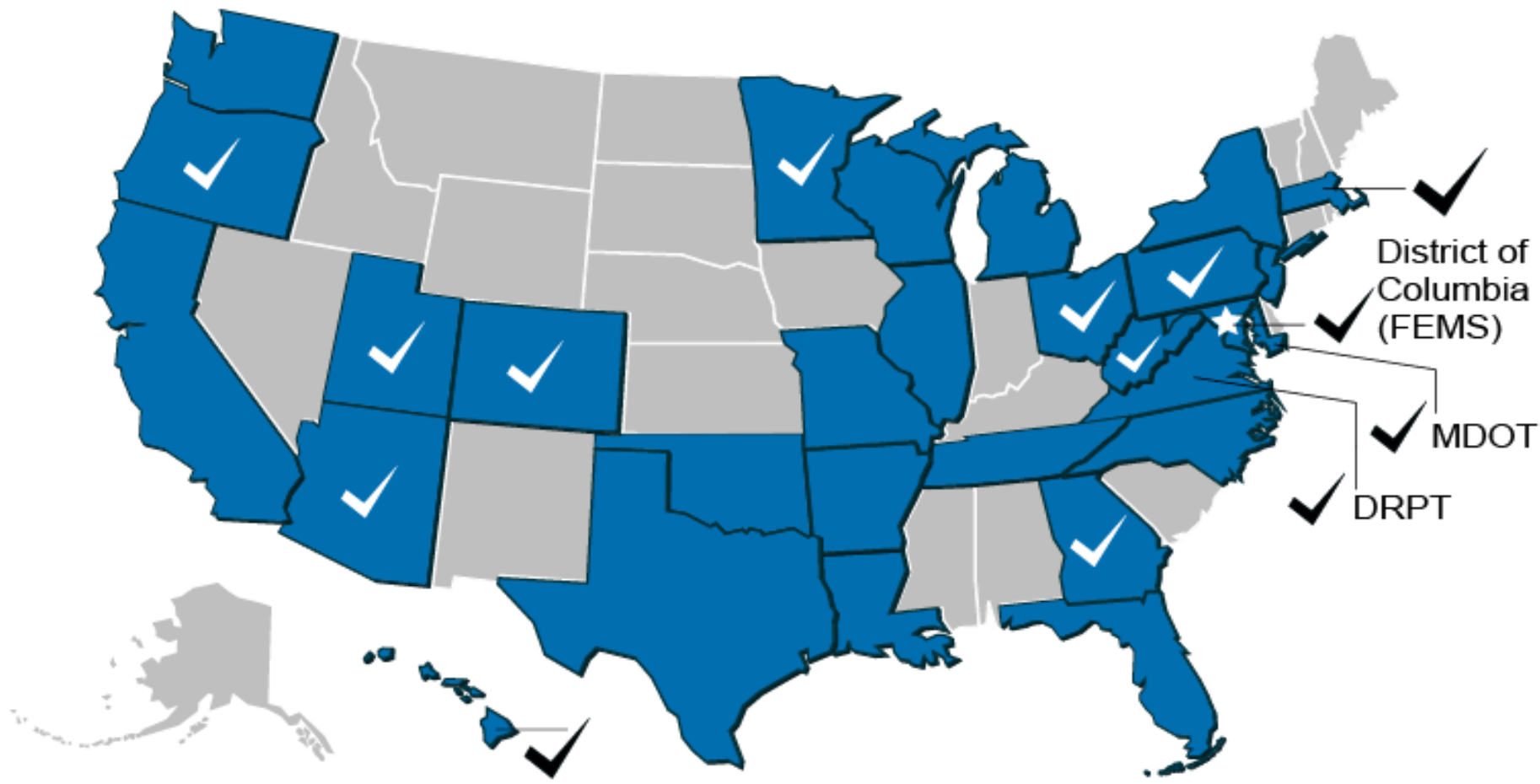
Post Certification

	49 CFR Part 659	49 CFR Part 674
Investigative & Enforcement Authority	Varied from state to state	Has investigative and enforcement authority with respect to the safety of rail fixed guideway public transportation systems of the eligible State
Triennial Auditing	On-site reviews of rail transit agencies at least every three years	Audits, at least once triennially, the compliance of the rail fixed guideway public transportation systems in the State
Annual Reporting	Annual certification of compliance submitted to FTA.	Provides, at least once annually, a status report on the safety of the rail fixed guideway public transportation systems the State safety oversight agency oversees to FTA; the Governor of the State; and the board of directors of the rail fixed guideway agency.

Certification Status

As of June 12, 2018:

- Fourteen states have received certification
- Thirteen states have submitted certification applications that are under review by the FTA
- One state have the submitted their application, but it has yet to be determined complete by the Certification Team
- Three states have completed all prerequisite steps but have not yet submitted a certification application to FTA



Certification Status Table

Status table tracks each state's certification status

- Updated as new states are certified
- <https://www.transit.dot.gov/regulations-and-guidance/safety/state-safety-oversight-program-certification-status>

Inquiries?

- Contact state's SSO manager
- <https://www.transit.dot.gov/regulations-and-guidance/safety/state-safety-oversight-contacts>

Resources to Help You Prepare Now

Read, watch, and participate

- Review FTA's *SMS Framework*
- Visit FTA's YouTube page to view previous webinars
- Participate in webinars explaining new regulations and guidance
- Read our newsletter, *TSO Spotlight*



Get trained

Register for Transit Safety Courses:

- Contact the Transportation Safety Institute (TSI) at transit@dot.gov or (405) 954-3682
- The SMS Awareness eLearning course is available on TSI's Learning Management System
 - <https://tsi-dot.csod.com>
 - ↳ “Bus/Rail Transit Safety and Security”

Sign up to receive updates

Sign up for GovDelivery:

- FTA announcements and new documents
- <https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new>

Questions?

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