Upgrading Your Roadway Worker Protection Using APTA's Suite of RWP Standards

> **Christopher Wallgren** *TRA, Vice President Philadelphia, PA*





### **Key Presentation Take-Aways**

- Overview of APTA OPWG
- RWP & brief history
- OPWG Suite of RWP standards
- Applicability & additional considerations
- Secondary protection technology & practices
- Evaluating Compliance: RWP at your RTS

# **Overview of APTA Operating Practices Working Group**

- Formed in 2000
- Extensive operating experience from multiple transit perspectives
- Multimodal representation: old & new heavy rail, light rail, streetcar, automated peoplemover
- Five standards dedicated to RWP

### **RWP Matters**

### • October 2013

- 3 fatalities
- 2 serious injuries
- 2002-14
  - 28 fatalities
- Serious response from industry



#### **What is Roadway Worker Protection?**

- The RWP program is the RTS's set of rules for safe performance of all kinds of work on or near right-of-way (ROW)
- Requires coordination of ground crews with Operations (via Control Center or Track Allocation)
- Ensures safe practices for daily RTS
   inspection & maintenance of rail systems

## **Roadway Worker Protection Recent History**

- Rail Transit Systems (RTSs) had inconsistent RWP policies and plans
- Transit fatalities at multiple RTSs placed enhanced federal focus on RWP programs
  - "Dear Colleague" letter, 12/31/13
  - Safety Advisory 14-1 for SSOAs to audit RWP
- <u>Result</u>: increased review and attention
   from state safety oversight, FTA, & NTSB

#### **Roadway Worker Protection**

- <u>Issue:</u> RTSs had no consistent framework to develop or administer RWP programs
- FTA directed APTA OPWG to develop a Standard for Roadway Worker Protection Requirements
  - Expand on original RWP-related standards
  - Include real-world experiences
  - Operationally effective for all RTSs
  - Incorporates elements of 49 CFR Part 214

### **APTA's Suite of RWP Standards**

#### Distinct standards to address all areas of RWP:

- Work Zone Safety Practices
  - <u>APTA RT-OP-S-004-03 Rev 2</u>
- Contractor's Responsibility for Safety on the ROW
  - <u>APTA RT-OP-S-010-03 Rev 2</u>
- RWP Program Requirements
  - <u>APTA RT-OP-S-016-11 Rev 1</u>
- Rail Transit Track Allocation Program Requirements
  - <u>APTA-RT-OP-S-020-014</u>
- On-Track Equipment Safety Requirements
  - <u>APTA RT-OP-S-021-15</u>

# Suite of RWP Standards Highlights

### Work Zone Safety Practices

- Evolved to become safe working practices in the work zone
- Rules for PPE; power; work plans; site cleanliness; etc.
- Contractor's Responsibility for Safety
  - Clarifies how RTSs shall work with contractors who do work on / near the ROW.

# Suite of RWP Standards Highlights

#### • RWP Program Requirements

- All-encompassing document for safety on the ROW
- Rules/Procedures; Training; Supervision; Technology
- Rail Transit Track Allocation Program Requirements
  - Establishes formal scheduling requirements
  - Requires certain minimum information to be confirmed as part of track allocation
  - Establishes formal documentation protocols

# Suite of RWP Standards Highlights

- On-Track Equipment Safety Requirements
  - Looks to FRA to implement additional visual and audible safety devices on OTE
  - Promulgates requirements for procedures for safe work on/near OTE
  - Incorporates OTE pre-trip inspection requirements



### **Ongoing Issues in RWP**

- Managing construction adjacent to RTS
  - Coordinate safe work zones
  - Deploy flaggers and watch-persons
- Operating hours vs. maintenance needs
  - Increased employees and contractors working in the ROW during operational hours
- Programs and policies that miss the mark

   Use APTA standards as an agency benchmark
   Baseline your RWP program

## **Secondary Protection in RWP**

- FTA, FRA, and NTSB have pointed to secondary protection as a preventative measure in RWP fatalities
- Practices:
  - Some rail systems utilize additional watchpersons with track inspections
- Technology:
  - In use or in beta testing by multiple RTSs
  - Multiple technologies; approaches

# **Evaluating Compliance**

- Engage all relevant departments in review of APTA Standards
- Conduct gap analysis of RWP program with APTA suite of standards to identify:
  - Areas of compliance & non-compliance
  - Process improvements
  - Training opportunities
- Determine if gaps pose risk to your RTS
- Engage relevant departments in updates to RWP program

# RWP at Your RTS Lessons Learned & Best Practices

- Has your agency identified any innovative solutions that improve RWP program compliance?
- How often do Ops and Safety audit RWP compliance? RWP program as a whole?
- Could your RWP programs benefit from further evaluation of implemented
   updates?

### **Summary: Improving Your RWP**

- APTA Standards exist & provide guidance
  - Developed by rail transit industry
  - Referenced by SSOAs, FTA, NTSB, RTS mgmt.
  - Evolved to meet lessons learned & improvements related to our understanding of RWP
- Cross check standards with RTS programs
  - Does RTS program align with APTA document?
  - Make the changes; monitor; continually improve
- Result is a safer working environment

### **Questions & Comments**

# Thank you!

**Christopher Wallgren** *TRA, Vice President Philadelphia, PA* 

