

# FTA

FEDERAL TRANSIT ADMINISTRATION

## Your Role in FTA's Oversight

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U.S. Department of Transportation  
Federal Transit Administration

# Understanding Findings

- The vast majority of Triennial Reviews result in at least one finding.
  - Only 5-7% of the 200+ reviews annually have zero findings
  - Requirements change over time
  - Staff come and go
- A finding is an opportunity to learn, increase efficiency, improve the agency.

# Your Role in Oversight

- Commitment by senior management is crucial
  - Demonstrates organizational commitment and cooperation with the review process
  - Sets the tone for staff to use the review as a self assessment tool to identify areas for improvement
  - Corrective actions should not be viewed as an exercise to close the triennial review report but as an opportunity to actually resolve compliance issues over the long term

# Oversight Reviews are NOT Audits

- Think of it as:
  - Free consultancy – Experts on site
  - A 3-year check in to ensure agency staff especially new hires are current on FTA requirements
  - Opportunity for to understand what FTA is looking for and how maintain compliance

# Benefits of Oversight Reviews

- Management tool to
  - Aid for the development of sound operating and business practices
  - Provide insights into internal business management practices
  - Identify where improvements can be made

# Benefits of Oversight Reviews

- Opportunity to assess:
  - Staffing levels
  - Organizational structure
  - Cross department/office communication
  - Resources dedicated to each compliance area
  - Training needs



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