



**THRIVING
COMMUNITIES**
PROGRAM



GUIDING TRANSPORTATION PROJECTS FROM CONCEPT TO COMPLETION

Joint DOT-HUD Thriving Communities Program Overview
for Prospective Technical Assistance Providers

October 12, 2022





Today's Agenda



- Introduction to the Webinar
- About the Thriving Communities Program
- HUD Notice of Funding Opportunity
- DOT Notice of Funding Opportunity
- Additional Webinars and Resources
- Q&A



Thriving Communities Program Webinar Overview



Audio

- To listen via computer: Select “Computer Audio”
- To listen via phone:
 - Call: 669-254-5252
 - Webinar ID: 161 729 1507
 - Passcode: 245179
- All participants will automatically join on mute with cameras off.
- This webinar is being recorded.

Technical Support

- Email Webconference@dot.gov

Questions for Presenters

- Please type your questions in the Q&A box

More Information

- <https://www.transportation.gov/grants/thriving-communities>
- <https://www.huduser.gov/portal/nofos/thriving-communities.html>



Getting to Know Our Audience



Poll Question #1: What type of organization do you represent?

- Non-profit organization
- State or local government agency
- Indian Tribe
- Philanthropic entity
- Other technical assistance provider (please specify in the chat)



Getting to Know Our Audience



Poll Question #2: What experience does your organization have working as a Federal technical assistance provider?

- None
- Fewer than three years
- 3-5 years
- 5-10 years
- 10+ years



Getting to Know our Audience



Poll Question #3: Has your organization specifically worked on transportation equity issues, or issues at the intersection of housing development and transportation, with local government or community organizations in disadvantaged communities?

- Transportation equity issues
- Equity issues at the intersection of housing and transportation
- Both
- Neither



Today's Speakers



Christopher Coes
DOT Assistant Secretary
for Policy



Sarah Brundage
HUD Senior Advisor



Pamela Blumenthal
HUD Social Science
Analyst



Mariia Zimmerman
DOT Strategic Advisor



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About the Thriving Communities Initiative



Created by the Consolidated Appropriations Act for Fiscal Year 2022 (PL-117-103)

DOT = \$25 million | HUD = \$5 million

Goals of the Initiative

- Plan and develop a pipeline of community-driven transportation and community revitalization activities
- Increase mobility, reduce pollution from transportation sources, and expand affordable transportation options
- Facilitate efficient land use, preserve or expand jobs, and improve housing conditions
- Enhance connections to health care, education, and food security, or improve health outcomes
- Offer support to disadvantaged and under resourced communities



Thriving Communities Programs at DOT and HUD Share a Common Vision



Supporting jurisdictions in designing and deploying their infrastructure investments in ways that support equitable development and benefit residents and businesses through a coordinated approach to connect transportation with other crucial investments like housing.



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For more information:

<https://www.transportation.gov/grants/thriving-communities>

<https://www.huduser.gov/portal/nofos/thriving-communities>

Housing Supply Action Plan

1. Housing Supply Action Plan
2. Progress on Housing Supply Action Plan
3. New HUD Quick Guide

“Increasing the Supply of New Affordable Housing: Quick Guide to Using HUD’s Community Planning and Development Programs”

MAY 16, 2022

President Biden Announces New Actions to Ease the Burden of Housing Costs

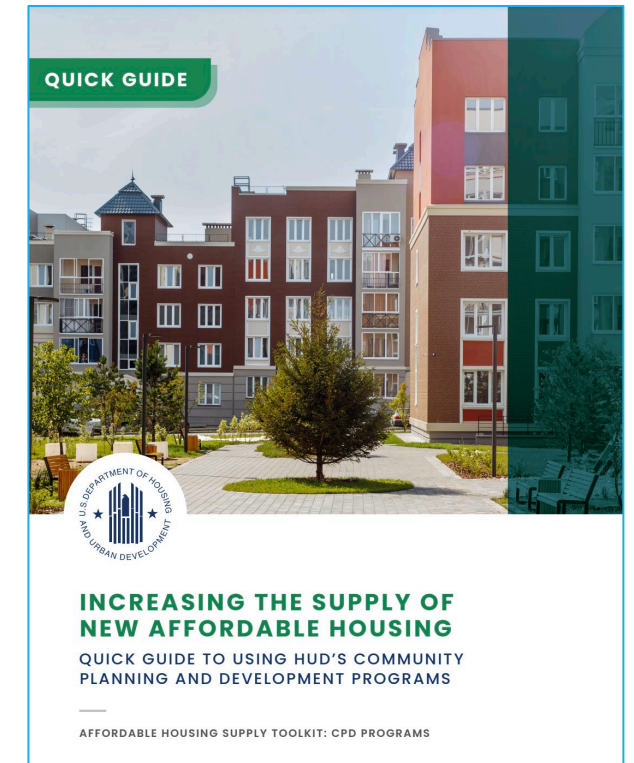
 [BRIEFING ROOM](#) [STATEMENTS AND RELEASES](#)



OCTOBER 07, 2022

Biden-Harris Administration Announces Progress in Implementing its Housing Supply Action Plan

 [BRIEFING ROOM](#) [STATEMENTS AND RELEASES](#)





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Today's Webinar Focuses on Two NOFOs

HUD \$5 million Thriving Communities NOFO

- Fund Technical Assistance Providers
 - Demand Responsive TA
 - Four Focus Areas

DOT \$21 million Thriving Communities NOFO

- Fund Capacity Builders to provide
 - Deep Dive Technical Assistance
 - Support Three Communities of Practice
 - Targeted Technical Expertise

DIFFERENCES

- Eligibility
- Focus of technical assistance support
- How and when communities selected for support
- Period of performance
- Award amounts

SIMILARITIES

- Encourage diversity of applicants
- Both NOFOs close November 22, 2022
- Both will launch in 2023 as part of a federal *Thriving Communities Network*
- Importance of equitable community engagement



HUD Thriving Communities Technical Assistance NOFO



“Affordable housing investments must go hand-in-hand with transportation improvements. Connecting housing and transportation through transit-oriented development improves housing affordability, reduces automobile dependence, and expands access to employment, schools, health care, and other critical needs and opportunities for residents,”

~ HUD Secretary Marcia L. Fudge

HUD's Thriving Communities Technical Assistance

1. Provide funding to technical assistance providers to **help local governments**
 - **ensure housing needs** are considered as part of their larger infrastructure plans
 - support **equitable development and local economic development ecosystems** in Disadvantaged Communities
2. Technical assistance provided will **respond to local market conditions** and context of the local government
3. Technical assistance engagements will be designed to ensure a **measurable impact on the local government's goals**
4. Technical assistance will take a **localized, holistic approach**, working across sectors and building local capacity

Assist Units of General Local Government (UGLG)

- Includes UGLGs' work with non-profit and cross-sector partners serving disadvantaged communities

HUD TC TA Focus Areas

- Identifying and using vacant, abandoned, or underutilized land located on or near transportation investments that is suitable for **housing development** (including land owned by federal, state, and local governmental entities as well as the private sector) to create location-efficient housing.
- **Preserving affordable housing** and **protecting residents and businesses from displacement** as new infrastructure is deployed.
- Identifying and implementing regulatory and procedural reforms to **reduce unnecessary barriers to location-efficient housing** that impedes housing production and increases housing development costs.
- **Improving coordination** among public entities (for example, local housing, transportation, planning, and community development departments); state recipients of infrastructure funding; transit authorities and other quasi-public entities; the private sector; and locally-based organizations in ways to support a holistic and regional approach to housing and transportation.

HUD Eligible Activities for Technical Assistance

- Needs Assessments
- Direct Technical Assistance and Capacity Building Engagements
- Development and Maintenance of Tools and Products
- Self-Directed and Group Learning
- Coordination
- Administration

Rating Factors for Eligible Applicants

- 102 Points Maximum
- 2 Preference Points for HBCU as applicant or partner

Three Rating Factors

1. Capacity of Applicant
2. Understanding of the Context
3. Soundness of Approach

As a HUD TA Provider

- HUD Thriving Communities Technical Assistance [website link](#)
- HUD anticipates two to five awards
- Awards: \$500,000 minimum, \$3 million maximum
- Period of performance is three years
- Cooperative agreement negotiated after selection

Eligible HUD TC TA NOFO Applicants Include

- Non-profits
- For profit organizations
- Small businesses
- Public and state-controlled institutions of higher education
- Private institutions of higher education
- Native American tribal organizations (other than tribal governments)

Grants.gov Notice of Funding Opportunity (NOFO) and Application is available [here](#)

- Applications due November 22, 2022
- Work begins in early 2023



DOT Thriving Communities NOFO



"This program will help equip more local leaders with the resources, knowledge, and assistance they need to access federal funding, and modernize their infrastructure so that it serves the community well for decades to come."

~ U.S. Transportation Secretary Pete Buttigieg



DOT's Thriving Communities Program (TCP) Overview



- TCP will provide access to a diverse set of Capacity Builders available to work directly with communities as they build upon local assets **to co-design and advance infrastructure projects** that address critical social, economic, environmental, and mobility needs
- Supports the Administration's Justice40 Policy and the DOT Equity Action Plan
- Ensures implementation of the Bipartisan Infrastructure Law (BIL) supports the greatest range of communities, especially those that have greatest need
- Designed to grow community capacity to take transformative projects from concept through to delivery
- Program was **designed using public input received in Request for Information** (RFI) and other stakeholder outreach conducted summer of 2022



Funding Available through the DOT TCP NOFO



- Inaugural year will provide **\$21 million** in expert technical assistance, planning, and capacity building services
- Approximately **\$3.5 million - \$6 million per Capacity Builder** for 2-year period of performance.
- All program expenses are at 100% federal share; leverage welcomed
- At least 60% of budget for direct support to communities
 - Intermediary roles: e.g., sub-granting, staffing, data and management systems
- Utilizing **Cooperative Agreements** – expected selection by March 2023 and negotiate for summer launch
- At least **three (3) Capacity Builders will be selected** (each paired with a Community of Practice)
- Specific technical expertise may facilitate additional pairing by DOT



Who is Eligible to Apply to the DOT TCP NOFO



- **Organizations eligible to apply individually or as part** of a team for this Notice of Funding Opportunity (NOFO) include the following:
 - Non-profit organizations
 - State or local governments and their agencies
 - Indian Tribes
 - Philanthropic entities
 - Other technical assistance providers with a demonstrated capacity to develop and provide technical assistance, planning, and capacity building (i.e., private firms or consultants, private academic institutions, CDFIs)
- DOT encourages interested applicants to **partner** with other eligible organizations to form Capacity Builder teams whose members bring technical knowledge across a diverse set of issues and skills, including meaningful public involvement in transportation decision-making processes and project delivery.
- DOT will view applications favorably that demonstrate clear involvement of **small or disadvantaged business enterprises and/or community-based organizations**.



Supporting a DOT TCP Community of Practice





DOT's TCP Comprehensive Partnership Approach



Recipients of DOT's technical assistance must also form partnerships:

- Eligible lead applicants: Local, state, or Tribal governments including pueblos or villages, United States territories, metropolitan planning organizations/rural transportation planning organizations, transit agencies, other political subdivisions of state or local governments
- **PLUS** at least **two other** organizations that:
 - Are important implementation partners,
 - Can help to supplement local capacity, or
 - Can ensure that those who live in disadvantaged communities have a voice at the table.

A DOT Capacity Builder will offer technical assistance to individual communities and to all members of the Community of Practice (at least 10 communities) + potential for TA on specific issues



How will DOT make NOFO selections?



DOT will review complete and eligible applications and evaluate them based on

1. the applicant's proven success,
2. quality project management,
3. alignment with DOT priorities,
4. centering community,
5. flexibility and innovation, and
6. impact size and longevity.

See Section E of the [NOFO](#) for more detailed information about the review and evaluation process



How You Can Participate in DOT's TCP



Notice of Funding Opportunity (NOFO) is available on DOT website (available on Grants.gov by October 19)

- www.transportation.gov/grants/thriving-communities/nofo-fy22

Before you submit, you must be registered in Grants.gov **(this can take weeks)**:

- Obtain a Unique Entity Identifier (UEI) number by registering with the System for Award Management (SAM) at www.SAM.gov;
- Create a Grants.gov username and password; and
- The E-Business Point of Contact (POC) at your organization must respond to the registration email from Grants.gov and login at Grants.gov to authorize you as an Authorized Organization Representative (AOR). Please note that there can be more than one AOR for an organization.

Proposals are due on **November 22, 2022** (11:59 PM EST)



Additional Upcoming DOT TCP Webinars



- Interested in **Receiving Technical Assistance?** DOT Thriving Communities Program Overview for Prospective Technical Assistance Recipients - TOMORROW (10/13/22) at 4:00 - 5:00 pm ET
 - *Help us spread the word to communities interested in submitting a Letter of Interest (can be done online via TCP website and closes December 6, 2022)*
- Interested in becoming a **DOT Thriving Communities Capacity Builder?** Thriving Communities Program Overview for Prospective Technical Assistance Providers - (10/19/2022) at 1:00 - 2:00 pm ET
- **To register and find webinar recordings, visit:** www.transportation.gov/grants/thriving-communities/webinars



Additional DOT and HUD Resources



DOT TC General Inquiries

thrivingcommunities@dot.gov

DOT TC TA Program Updates or to Participate in DOT's TC Technical Assistance

www.transportation.gov/grants/thriving-communities

Apply to be a DOT TC TA Capacity Builder

Grants.gov Opportunity Number
DOT-TCP-FY22-01; Assistance Listing 20.942
(tentative)

HUD TC NOFO General Inquiries

Katina.L.Jordan@hud.gov

HUD TC TA Program Updates

<https://www.huduser.gov/portal/nofos/thriving-communities.html>

Apply to be a HUD TC TA Capacity Builder

Grants.gov Opportunity Number
FR-6600-N-85; Assistance Listing 14.259
<https://www.grants.gov/web/grants/view-opportunity.html?oppID=343955>



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USDOT Guide on Meaningful Public Involvement

A **set of promising practices** to help transportation practitioners across *all modes* incorporate meaningful public involvement into each stage of the transportation decision-making process and project lifecycle.

A **guide** to help USDOT funding recipients meet the requirements of Title VI of the Civil Rights Act of 1964, the National Environmental Policy Act of 1969, and other existing requirements.



<https://www.transportation.gov/public-involvement>



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Questions?

